

TGA use only

This form, when completed, will be classified as 'For official use only'. For guidance on how your information will be treated by the TGA see: Treatment of information provided to the TGA at <a href="https://www.tga.gov.au/treatment-information-provided-tga">https://www.tga.gov.au/treatment-information-provided-tga</a>>.

## Transfers of conformity assessment certificates

This form, along with sufficient documentary evidence for the relevant event, is to be provided as part of a change application in the <u>TGA Business Services</u> portal.



- Not all sections of the form will be relevant in all circumstances please refer to the guidance for <u>Transfers of conformity assessment certificates</u> for information about what sections are required.
- If the manufacturer's address has changed, a <u>Substantial change</u> application will be required.
- **Do not** submit a transfer application until the actual transfer, assignment, or name change has occurred.

### Section 1 - Details

This transfer is because the former certificate holder has:				
died, become bankru	rupt, or is wound up			
disposed of the busin	iness or amalgamated with another manufacturer			
changed its name				
Name of former holder (disposer)				
Client ID				
Postal address				

PO Box 100 Woden ACT 2606 ABN 40 939 406 804
Phone: 1800 020 653 Fax: 02 6203 1605 Email: info@tga.gov.au https://www.tga.gov.au



Name of new holder (acquirer)	
Client ID (if any)	
Postal address	

# Section 2 – Conformity assessment certificates to be transferred

If there are additional certificates, please add them as an attachment to the form.

Certificate number	Certificate number

### Section 3 – Declaration of former holder

Please note: Under section 137.1 of the *Criminal Code Act 1995*, it is an offence to knowingly provide information to a Commonwealth entity that is false or misleading in a material particular, or to omit any information without which the information is misleading in a material particular.

Penalty: 12 months imprisonment I declare I am: the former certificate holder (disposer) OR authorised to make this declaration on behalf of the former certificate holder I declare that I, \_\_\_\_\_ , have: disposed of / transferred / assigned the business in relation to the certificate(s) listed in section 1 above transferred / assigned my / its interest in the certificate(s) listed in section 1 **To** (name of new holder): I declare that the information I have provided above is true and correct Signature: Date: Full name: Position:\* Email: Phone: Fax:

**Please note:** In exceptional circumstances, if the declaration of the former holder is not provided in this form, the new holder will need to provide us with other documentary evidence that is sufficient to establish the transfer event took place, including the date on which it occurred.

<sup>\*</sup>e.g. managing director or regulatory affairs officer of former holder; agent of the former holder.

### Section 4 – Declaration of new holder

Please note: Under section 137.1 of the *Criminal Code Act 1995*, it is an offence to knowingly provide information to a Commonwealth entity that is false or misleading in a material particular, or to omit any information without which the information is misleading in a material particular.

Penalty: 12 months imprisonment

I decl	are I am:			
	the new certificate holder (acquirer)			
	OR			
	authorised to make this declaration on behalf of the new certificate holder			
l decla	are that	(former holder) has:		
disposed of / transferred / assigned the business in relation to the certificate(s) listed in section 1 above				
	OR			
	transferred / assigned its interest in the certificate(s) listed in section 1 above			
	To (name of new holder):			
-				
I declare that the information I have provided above is true and correct				
Signature:		Date:		
		Full name:		
Position	on:*	Email:		
Phone	e: 	Fax:		

<sup>\*</sup>e.g. managing director or regulatory affairs officer of former holder; agent of the former holder.