



Australian Government

Department of Health

Therapeutic Goods Administration

# Pharmacovigilance

## A regulator's perspective

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UTS Molecule to market course

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**TGA** Health Safety  
Regulation



# Overview

- What is pharmacovigilance?
- Who we are and what we do
  - Pharmacovigilance and Special Access Branch
- Premarket pharmacovigilance
  - Risk Management Plans
- Post-market pharmacovigilance
  - Adverse event reporting
  - Signal detection and investigation





# Who we are and what we do

- TGA is part of the Commonwealth Department of Health.
- TGA was established in 1990 to 'safeguard and enhance the health of the Australian community through effective and timely regulation of therapeutic goods'.
- Provides a national system of controls relating to the quality, safety, efficacy and timely availability of therapeutic goods used in, or exported from, Australia.
- Applies scientific and clinical expertise to decision making.





# What we do (continued)

- Monitors the benefit-risk profile of medicines throughout the product lifecycle.
- Pharmacovigilance activities broadly fall into two categories:
  - premarket
  - post-market.





# Pharmacovigilance and Special Access Branch

- Responsible for post-market (and some premarket) monitoring and compliance of medicines on the Australian Register of Therapeutic Goods (ARTG).
- Including:
  - monitoring of more than 27,354 medicines (13,000+ registered)
  - each year the branch administers/undertakes:
    - about 18,000 adverse event reports relating to medicines/vaccines
    - about 130 Risk Management Plan evaluations
    - numerous safety reviews of medicines and vaccines
    - 60,000 notifications (Clinical Trials, Authorised Prescriber, Special Access Scheme) managed by the Experimental Products Section.



# What is pharmacovigilance?

- The World Health Organization (WHO) describes pharmacovigilance as the science and activities relating to the detection, assessment, understanding and prevention of adverse effects or any other medicine-related problem. This includes:
  - collection and evaluation of spontaneous case reports of suspected adverse events
  - pharmacoepidemiology studies (ICH 2004).

# Premarket pharmacovigilance

- Risk Management Plans (RMPs)
  - What is in an RMP?
  - When is an RMP required?
  - Considering the Australian context
  - RMP components
  - RMP evaluation
  - Lifecycle of an RMP
  - RMP resources
  - RMPs in practice



# Risk Management Plans (RMPs)

- An RMP is a detailed description of a risk management system.
- RMPs contain:
  - a description and analysis of the safety profile of the medicine
  - a set of pharmacovigilance and risk minimisation activities.
- Covers the entire life cycle of the medicine.







# What is in an RMP?

- An RMP must include:
  - what is known about the medicine's safety profile
  - consideration for what is not known about the safety of the product
  - a summary of key safety concerns.
- RMP components:
  - Safety Specification
  - Summary of Safety Concerns
  - Pharmacovigilance Plan
  - Risk Minimisation Plan
  - Australian-specific Annex.



# When is an RMP required?

- An RMP must accompany all applications for:
  - new chemical entities
  - biosimilar medicines
  - vaccines
  - Class 3 and 4 biological products
  - previously registered medicines where there is a significant change to registration status (e.g. expanded target population, new disease, extension into paediatric use, new dosage form).





# Considering the Australian context

- Registering a medicine in the European Union also requires an RMP.
- The TGA accepts EU RMPs for assessment, but some parts may not be relatable to the Australian context.
- Things to consider about risk management of medicines in Australia include:
  - Indigenous population
  - large Asian population
  - rurality/lack of specialist services
  - Differences between state and federal control over some aspects of how medicines are used (e.g. scheduling and extemporaneous compounding)
  - risk management activities proposed for other jurisdictions may require adaption to Australian systems.



# Pharmacovigilance Plan

- Pharmacovigilance objectives:
  - monitor the occurrence of known risks post-approval
  - identify new and unknown risks that were not apparent in clinical development
  - gain an understanding of ‘real world use’ vs clinical study use
  - further inform and characterise the safety profile of the medicine.





# Pharmacovigilance Plan (continued)

- Can comprise a combination of routine and additional activities.
- Routine pharmacovigilance must include:
  - collection, follow-up and reporting of spontaneous adverse events
  - analysis of data and reporting in Periodic Safety Update Reports (PSURs).
- Sponsors have obligations for all registered medicines, even if not marketed in Australia.
- Additional pharmacovigilance can include:
  - clinical trials
  - post-authorisation safety studies
  - drug utilisation studies
  - patient registries
  - physician surveys
  - prescription event monitoring.



# Risk minimisation activities

- Risk minimisation objectives:
  - ensure risks are minimised by:
    - including warnings/precautions/contraindications on product information/packaging
    - educating patients and health professionals of specific risks
    - restricting access to a particular prescriber/patient group
    - encouraging reporting of adverse events.
- Can comprise a combination of routine and additional activities:
  - routine:
    - Product Information
    - Consumer Medicine Information
    - Directions for Use document
    - labelling, pack size and design
    - legal (prescription) status.
  - additional:
    - education programs
    - prescriber checklists
    - DHCP letters
    - controlled access programs
    - medical software alerts.



# RMP evaluation

- RMPs are evaluated as part of the registration application.
- Each RMP is considered on a case-by-case basis (no one-size-fits-all).
- Evaluator makes recommendations to the 'Delegate', who considers these and recommendations from other evaluation areas (e.g. clinical, toxicology, pharmaceutical chemistry) in deciding to approve or reject the application.
- The sponsor has an opportunity before the decision to respond to issues raised during the TGA evaluation process.
- The TGA can seek advice regarding any aspect of the submission through a number of advisory committees. RMPs are referred to the Advisory Committee on the Safety of Medicines.
- Current evaluation team comprises doctors, pharmacists and a toxicologist.



# Lifecycle of an RMP

- Typically, the TGA assesses an RMP early in the medicine's lifecycle.
- Although imposed as a condition of registration, the TGA acknowledges an RMP is a living document.
- All sponsors must periodically review and amend the RMP as further information about the medicine becomes available.
- Updating the RMP is not a surrogate for notifying the TGA of a change in the benefit-risk of the product or of a particular safety issue that comes to light.
- Post-registration safety data is reported to the TGA through mandated adverse event and significant safety issue reporting, as well as via PSURs.





# RMP resources

- *TGA Risk Management Plans Guidance*  
([www.tga.gov.au/publication/risk-management-plans](http://www.tga.gov.au/publication/risk-management-plans))
- *TGA Australian-specific Annex Template*  
([www.tga.gov.au/book/australian-specific-annex-template](http://www.tga.gov.au/book/australian-specific-annex-template))
- *EMA Guideline on good pharmacovigilance practices: Module V – Risk management systems*  
([www.tga.gov.au/pharmacovigilance-guidelines](http://www.tga.gov.au/pharmacovigilance-guidelines))
- *Australian requirements and recommendations for pharmacovigilance responsibilities of sponsors of medicines*  
([www.tga.gov.au/australian-requirements-and-recommendations-pharmacovigilance-responsibilities-sponsors-medicines](http://www.tga.gov.au/australian-requirements-and-recommendations-pharmacovigilance-responsibilities-sponsors-medicines))
- *CIOMS IX Practical Approaches to Risk Minimisation for Medicinal Products*



# RMPs in practice – a hypothetical

- Imagimist is a new nasal spray shown in clinical trials to be effective for the treatment of panic attacks. It has not been approved elsewhere and therefore no post-marketing data is available.
- Proposed dose is 1 x 10 microgram spray in each nostril at first symptoms of a panic attack (maximum 2 doses/day).
- Clinical safety issues:
  - local reactions (including epistaxis)
  - headache
  - possible toxicity in large doses
  - increased QT interval in patients taking SSRIs.
- Toxicology safety issues:
  - in a rabbit model there has been a suggestion of nasal neoplastic lesions at the site of application which have not been seen in human trials.



# Questions to ask (assuming a positive benefit-risk balance)

- What is the target population? What is the clinical need? Is there likely to be widespread use?
- From a public health perspective what are the key risks?
- What is the global perspective?
- Do these risks require additional pharmacovigilance? Why?
- Does the potential for off-label use/medication error need to be managed?
- What warnings/precautions should be included in the Product Information?
- Are product warnings sufficient ? Why?



# Workshop activity





- Pharmacovigilance activities
  - clinical trials
  - post-authorisation safety studies
  - drug utilisation studies
  - patient registries
  - physician surveys
  - prescription event monitoring
- Risk minimisation activities
  - Product information/labelling
  - education programs
  - prescriber checklists
  - DHCP letters
  - controlled access programs
  - medical software alerts.



# RMPs – take home messages

- There is no one size fits all approach to risk management.
- Risk management should be product/disease/target population specific.
- Risk minimisation technologies (e.g. prescriber software alerts) are becoming increasingly available – think outside the box!
- Australia is different – what works for another jurisdiction may not work here.
- **Public health and safety is the key priority.**



# Post-market pharmacovigilance

- Why post-market pharmacovigilance?
- TGA post-market pharmacovigilance activities
- Adverse Drug Reaction System
- What is a safety signal?
- Management of safety signals
- Potential responses to a signal
- Role of the sponsor
- Your role as a health professional



# Why post-market pharmacovigilance?

- Identify new adverse events or change in rates of known reactions.
  - not all adverse events are identified in pre-market clinical trials
  - small numbers of participants, so rare adverse events cannot be detected
    - “rule of 3” – 3N patients to detect adverse event with a frequency of 1/N
  - exclusion criteria → study population differs from population using medicine after registration
    - age, sex, pregnancy, comorbidities, concomitant medications
  - statistical aspects focus on efficacy endpoints not safety
  - experimental environment, tightly controlled vs ‘real world’
  - relatively short duration of trials, late adverse events not identified
- Identify production and other quality issues.







# How the TGA does this...

- Maintaining the Adverse Drug Reaction System (ADRS) database
  - selected information published in the searchable Database of Adverse Event Notifications (DAEN) on the TGA website.
- Analysing adverse event data regularly
  - individual spontaneous reports for serious adverse events daily
  - some vaccines weekly (e.g. influenza)
  - Proportional Reporting Ratio (PRR) bimonthly.
- Evaluating information from sponsors, literature, other regulators and WHO.
- Undertaking safety filters, safety reviews and risk benefit reviews.
- Communicating information to health professionals and consumers.
- Taking regulatory action as needed.
- Issues tracked through a workflow database.



# Adverse Drug Reaction System

- Adverse event data collection began August 1964 (post thalidomide)
  - data collection and storage initially paper based; electronic since 1971.
- Spontaneous reporting system
  - mandatory for sponsors (within 15 days for serious reactions)
  - voluntary for health professionals, consumers
  - vaccine reports from State and Territory Health Departments
  - benefits are all drugs, all patients, fast and relatively cheap
  - drawbacks are under-reporting, lack of key information, no denominator.
- At 20 August 2015, there were:
  - 328,664 individual case reports in the database
  - of which over 306,330 used for routine analysis
  - 37914 of these (12%) were vaccines.
- In 2014, WHO global database (Vigibase) held over 9 million reports.



# Volume of reports

- In 2014, the TGA received over 18,000 adverse event reports.
- Around 1800 (~10%) were assessed as being 'causality unclear'
  - not an adverse event
  - insufficient information to assess
  - reaction was not associated or extremely unlikely to be associated with the medicine
    - these reports were 'general listed'
    - available for review/updating but not routinely analysed
    - not in the DAEN on the TGA website.

# DAEN

- Database of **A**dverse **E**vent **N**otifications
- Publically available, searchable database on the TGA website  
<https://www.tga.gov.au/database-adverse-event-notifications-daen>
- Caveats include:
  - The reports received by the TGA contain suspected associations that reflect the observations of an individual reporter
  - There might be no relationship between the adverse event and the medicine
  - The search results cannot be used to determine the incidence of an adverse event.
  - Despite regular checking, it is possible that the database contains some duplicate reports, as a single case can be reported by multiple sources, and this is not always easy to identify.



# Serious reports

- 30% of reports were classified as 'serious'
  - hospitalised or hospitalisation period extended
  - attended emergency department or specialist
  - life threatening
  - death
  - recovery with sequelae - incapacity/disability
  - congenital anomaly.
- 3255 reports (20%) were for adverse events following immunisation (AEFI)
  - about 7% of the AEFI reports were 'serious'.



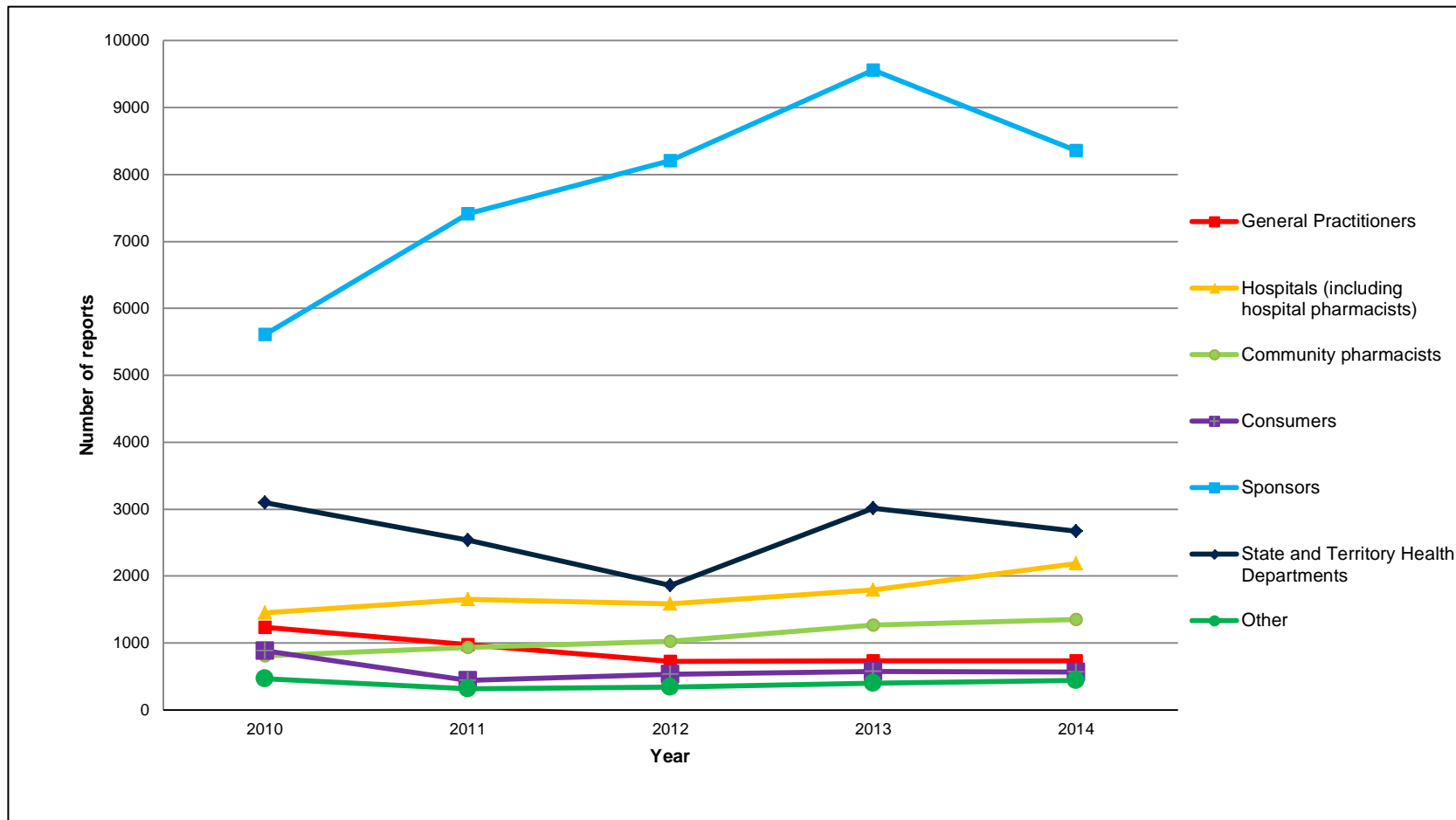
# Who reports adverse events?

- Information on suspected adverse events/adverse drug reactions is submitted as individual case reports by:
  - sponsors (mandated – serious adverse events within 15 days)
  - health professionals (e.g. doctors, pharmacists, others)
  - hospitals
  - consumers
  - State and Territory immunisation coordinators (vaccines).



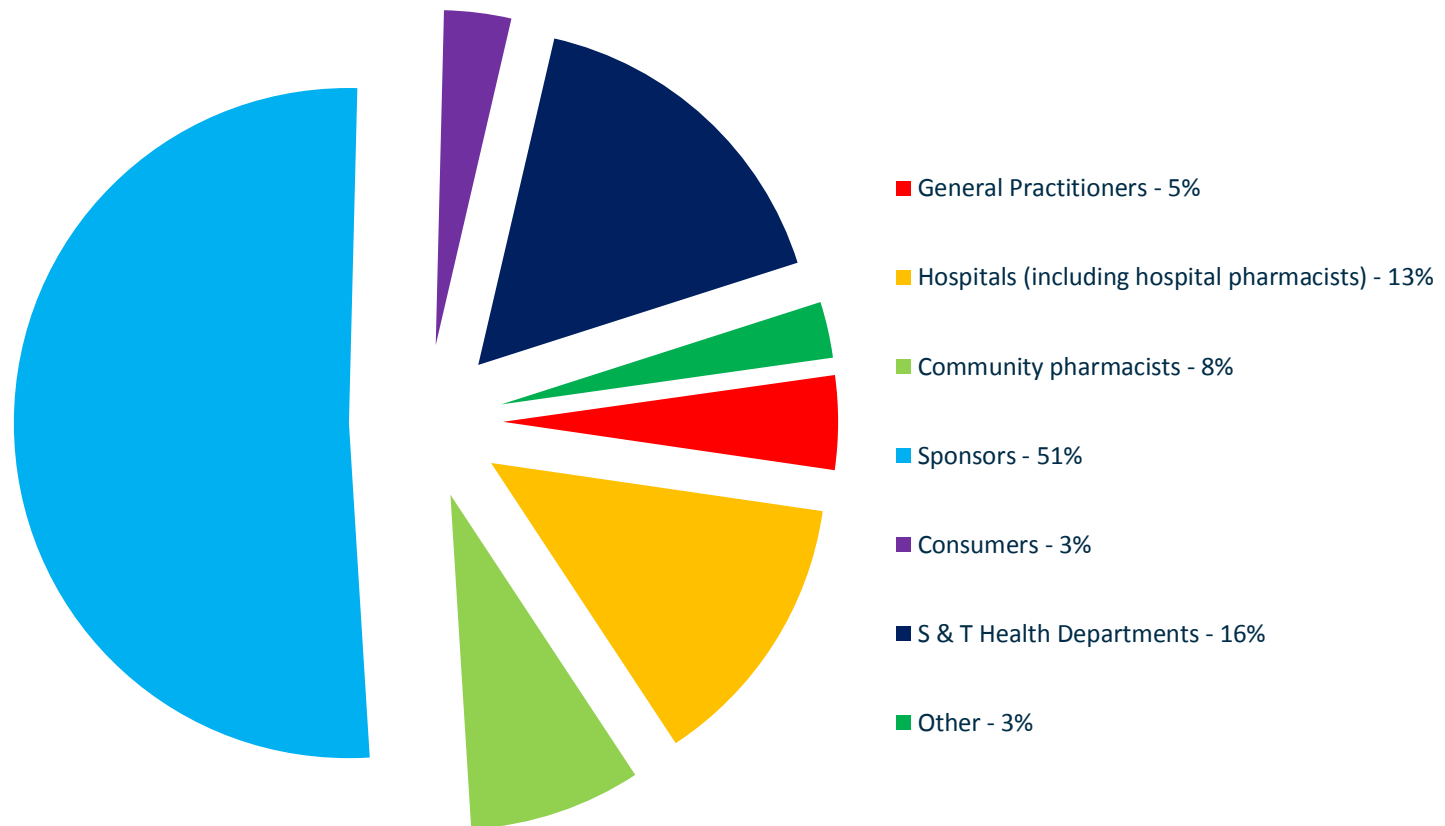


## Volume of adverse event reports received by the TGA (2010-2014)





## Sources of adverse event reports received by the TGA in 2014







# How reports are received

- Blue card - health professionals and consumers.
- Council for International Organizations of Medical Sciences (CIOMS) form (international format) – sponsors.
- Letters/emails/telephone – health professionals and consumers.
- Online reporting via TGA website – sponsors, consumers and health professionals
  - GuildLink interface.
- Telephone via NPS MedicineWise Adverse Medicine Event Line – consumers.
- Vaccines – State/Territory Health Departments or agencies (e.g. SAEFVic)
  - various formats.



# Entry into database

- Data are entered by staff in the data entry team who:
  - lodge the reports
  - triage them for assessment and coding by:
    - database staff – non-serious e.g. nausea, injection-site reactions, or
    - clinical evaluators – serious adverse events or complex reports
  - attach supporting documents
  - generate acknowledgement letters.
- Reactions are coded using MedDRA terminology, while drugs are coded using an in-house classification based on the Anatomical Therapeutic Chemical (ATC) codes.
- If multiple adverse events are reported, each is individually coded.
- Coding conventions, e.g. liver injury requires specific information on LFT test results before the coding term can be used.



# Follow-up information

- Need sufficient detail to determine causality.
- Require information on concomitant medication, medical history, concurrent illness, time to onset of adverse event.
- Need to identify confounders and determine temporal association.
- Seek further information (follow-up) from reporter:
  - if adverse event is serious, unexpected, or the reaction or the drug is of special interest, further information will be requested up to three times
  - standard questionnaires based on Brighton Collaboration definitions for some AEFIs.



# Causality assessment

- Based on WHO classification:
  - Certain
  - Probable
  - Possible
  - Unclear





# What is a safety signal?

*Information that arises from one or multiple sources, including observations and experiments, which suggests a new potentially causal association, or a new aspect of a known association, between an intervention and an event or set of related events, either adverse or beneficial, that is judged to be of sufficient likelihood to justify verificatory action.*

Hauben and Aronson, *Drug Safety*  
2009,32(2):99-110





# Management of safety signals

- A safety signal is a possible safety issue that needs further investigation.
- Three aspects:
  - signal detection/identification
  - signal investigation/assessment
  - signal response.
- Signal investigation is undertaken to determine whether:
  - the signal can be ‘verified’ → appropriate response determined
  - the signal can be ‘refuted’ → a false positive with no need for further action
  - the signal remains ‘indeterminate’ → more data/further observation is needed.



# Signal detection/identification

- A mix of proactive and reactive activities to identify harmful effects of medicines:
  - review of spontaneous ADR reports
    - includes use of data mining tool(s) such as the PRR – bimonthly
  - review of PSURs and other data from sponsors
  - review of international vigilance activities and reports
  - review of published literature
  - review of post approval studies.



# Signal investigation/assessment

- Assess the nature, magnitude and health significance of safety signals and their impact on the overall benefit-risk of the product
  - apply analytical skills in pharmacovigilance, epidemiology, biostatistics, risk assessment and clinical practice
  - use expert analysis and advice
    - advisory committees on the safety of medicines (ASCOM) and vaccines (ACSOV)
    - convene Expert Panels for some issues
  - use international data and liaise with other regulators.





# Investigation/assessment (continued)

- Initial stage is a safety filter.
  - generally short (3 page) evaluation of the issue
  - standard template
  - makes recommendations for further action (if needed).
- May be followed up with full safety review and/or risk benefit review.
- The TGA may seek additional information or comment from sponsors during the initial or follow-up stages of investigation.
- May result in commission of pharmacoepidemiological study (e.g. rotavirus and intussusception).
- Informs the signal response.



# Potential responses to a signal

- Signal response – actions taken to mitigate the risks:
  - Alteration of product labelling
    - Product information (PI) and Consumer Medicine Information (CMI)
      - indications, contraindications, warnings, dosage and administration, boxed warnings
    - packaging
  - other changes to conditions of registration
    - role of the RMP
  - product removal, i.e. suspension, cancellation, recall
  - communication of important safety and benefit-risk information
    - Sponsor – DHCP letters
    - TGA – web statements, Medicine Safety Update (MSU) articles
    - TGA liaison with NPS MedicineWise, professional colleges.



# Example – lumiracoxib cancellation

- Lumiracoxib:
  - registered July 2004
  - COX-2 inhibitor, not the first in class
  - PBS subsidy August 2006
  - 60,000 users.
- Eight reports of serious hepatotoxicity, with two deaths and two transplants.
- Registration cancelled August 2007.
- Liver death (fatality or transplant) 1 in 15,000:
  - rule of 3: would need 45,000 in a trial
  - therefore, impossible to detect premarket
  - but a significant risk considering underlying disease, efficacy and availability of alternatives.



# Role of the sponsor

- *Australian Requirements and Recommendations for Pharmacovigilance Responsibilities of Sponsors of Medicines* includes mandatory adverse event reporting for sponsors and guidance on pharmacovigilance systems.
  - <https://www.tga.gov.au/australian-requirements-and-recommendations-pharmacovigilance-responsibilities-sponsors-medicines>
  - <https://www.tga.gov.au/pharmacovigilance-guidelines> (for other resources).
- Reporting obligations:
  - any significant safety issue, i.e. one that impacts product safety or its benefit-risk profile, must be reported to the TGA within 72 hours
  - serious adverse events must be reported to the TGA within 15 days
  - non-serious adverse events must be recorded in the sponsor's database and included in the PSUR (if required) and provided to the TGA upon request.



# Your role as a health professional

- You play an important role in monitoring the safety of medicines by reporting any suspected adverse events to the TGA.
- The TGA is particularly interested in:
  - suspected reactions involving new medicines
  - serious or unexpected reactions to medicines
  - serious medicine interactions.
- You don't need to be certain to report, just suspicious!
- Reports can be made online, or by phone, fax or email.
- Visit the TGA website for more information about reporting
  - *Reporting adverse events to medicines and vaccines* brochure

(<https://www.tga.gov.au/publication/reporting-adverse-drug-reactions>)



# Workshop activity





# Further information

- The TGA publishes a wide variety of information relating to medicines.
- For example:
  - Australian Register of Therapeutic Goods
  - Product recalls
  - Alerts
  - Monitoring communications
  - Medicine shortages initiative
  - Product Information/Consumer Medicine Information
  - Database of Adverse Event Notifications
  - Medicine Safety Update.



# Questions

