



Australian Government

Department of Health

Therapeutic Goods Administration

Registered complementary and OTC medicines application and submissions

TGA Business Services (TBS) user guidance

Version 1.0, May 2020

TGA Health Safety
Regulation



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Introduction

The [TGA Business services](#) (TBS) portal provides an electronic facility for an application to register a complementary medicine (RCM) or over the counter (OTC) medicine on the Australian Register of Therapeutic Goods (ARTG). In relation to the TBS portal, RCM and OTC medicines are known collectively as 'Non-prescription medicines'.

Sponsors should have an understanding of the regulation of registered medicines in Australia and their legal obligations prior to preparing an application. For more information, refer to:

- [Applications for registered complementary medicines](#)
- [Australian regulatory guidelines for OTC medicines \(ARGOM\)](#)

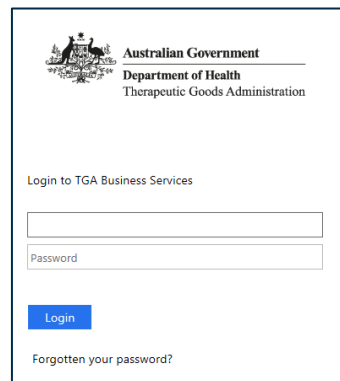
TGA business service portal (TBS)

To apply for a TGA client ID and access to the TBS portal, please see [TGA Business Services: getting started with the TGA](#). Information regarding the various 'roles' within TBS, can be found at [TGA Business Services - how to use the site](#) under [Roles: what each user can do](#).

The TBS portal can be accessed through your web browser using either - Internet Explorer, Google Chrome, Firefox or Safari.

How to login

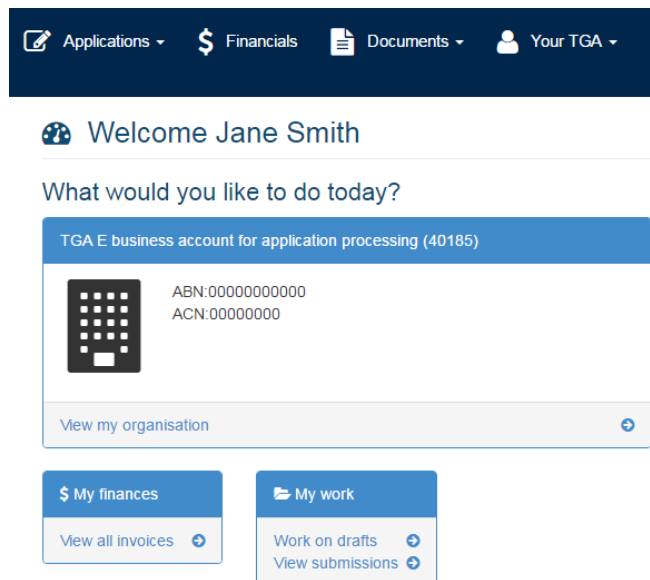
Once you have received your login details, log on to the [TBS portal](#). You will be prompted to enter your login details on the right hand side of the screen.



For information:

Note that the user name and password are case sensitive.

Once logged in, you will see a personalised work page or 'dashboard'. What you can see and do on the dashboard will depend on your [user role](#) (access level).



At the top of the dashboard, the main menus: **Application**, **Documents** and **Your TGA** are displayed. If you also have financial access, the **Financials** menu will be displayed.

From this screen you can:

- Access the '**My work**' menu to work on drafts or view submissions;
- Access the '**\$ My finances**' to view invoices;
- Access the '**News Panel**' to view the latest TGA news;
- Access the '**Work on drafts**' and '**View submissions**' to view all draft and submitted applications;
- View the '**Applications menu**' – the drop down will display all application types available;
- View the '**Documents menu**' – the drop down will display manufacturers, Consumer Medicine information and Product information;
- Access the '**View my organisation**' to edit user details;
- View the '**Your TGA menu**' – the drop down will display your current ARTG entries and other useful information.

Creating a new RCM or OTC application

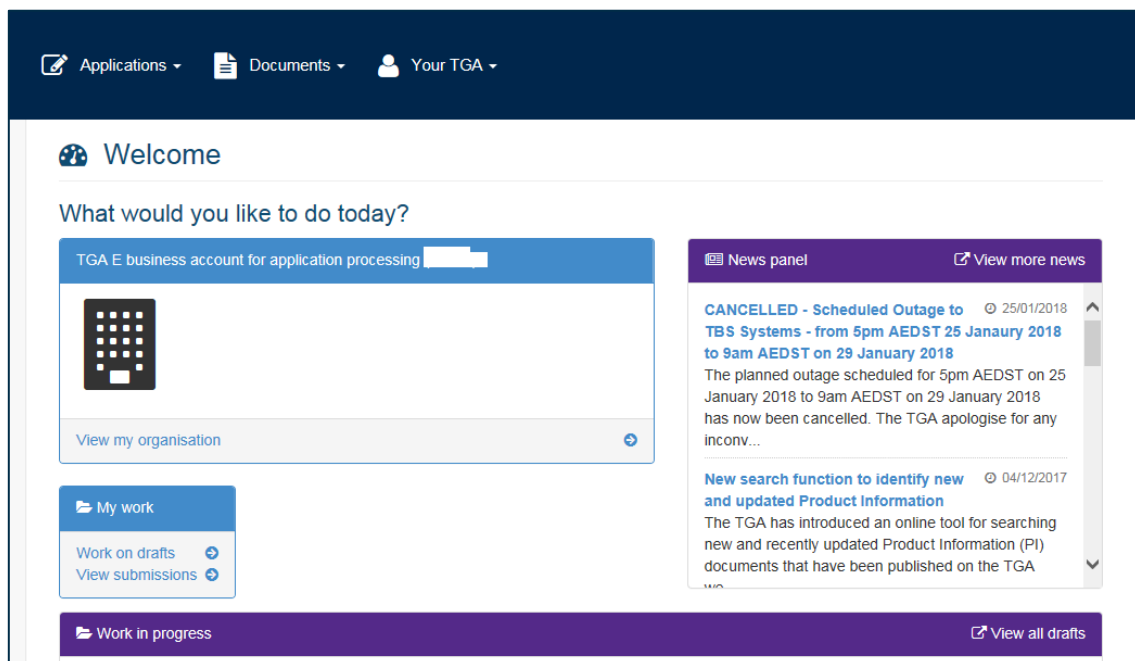
Select **Applications** from the top menu.



Under Non-Prescription Medicine subheading, select either Non-Prescription medicine for a single medicine RCM or OTC application or Non-Prescription Composite Pack for a composite pack RCM or OTC application.

Draft applications

Previously initiated draft applications, which have not been submitted, can be accessed by selecting '**Work on drafts**' from the **My Work** menu:



The drafts window will open displaying a list of current draft applications. Click on the draft application you wish to continue editing.

To access other functions such as 'copy', 'delete' or 'print preview', select the arrow to the left of the application.



For information:

Note that draft applications are automatically deleted from the Portal if they have not been updated in the last twelve months. Once deleted, records are not retrievable.

Navigating through an application

Once a new application type or draft has been selected, the application will open.

Menu

There are two buttons above the Banner:

- **Application:** allows you to 'save' or 'close' an application. It also allows for validation once all necessary information has been entered;
- **Help:** provides definitions and information regarding each step of the application process.

The top right hand side in the Banner displays:

- **Application ID:** the unique application identification number for the application being displayed (generated when the form is first saved);
- **Status:** displays the current status of the application;
- **Client Reference:** a name that can be entered by the application in order to identify the current application and is not visible to TGA.

The screenshot shows the top banner of the TGA application portal. It includes a navigation bar with 'Application' and 'Help' links. Below this, the title 'Non-Prescription Medicine application' is displayed. On the right side of the banner, there is a box containing the following information: 'Status: Draft', 'Application ID: OM-2015-GL', and 'Client Reference: Client reference, not seen by TGA'. The 'Client Reference' field is highlighted with a red circle. Below the banner, there are tabs for 'Application', 'Registration', 'Manufacturers', 'Products', 'Supporting Information', and 'Other Regulatory Requirements'. The 'Application' tab is currently selected.

Tabs

There are a number of tabs below the Banner:

The screenshot shows a row of six tabs: 'Application', 'Registration', 'Manufacturers', 'Products', 'Supporting Information', and 'Other Regulatory Requirements'. The 'Application' tab is highlighted with a blue border, indicating it is the active tab.

- **Application:** This tab contains general information relating to the application, including: applicant contact details and application type;
- **Registration:** This tab contains general product information such as: product name, product code;
- **Manufacturers:** This tab contains manufacturer details and nominated manufacturing steps;

- **Products:** This tab contains specific information relating to the product including: route of administration, dosage form, container specifications, ingredients, indications and warnings;
- **Supporting Information:** Supporting information can be uploaded;
- **Other Regulatory Requirements:** This tab displays regulatory information after validation;
- **Changes made:** This tab appears after validation when the application is to change an existing ARTG entry. All changes that are made to the application will be displayed on this tab and should be reviewed before submitting.

Required fields

A red asterisk next to a field indicates that it is mandatory.

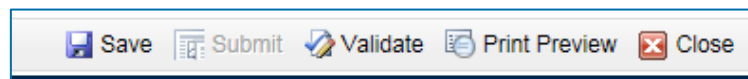
A grey asterisk indicates a conditional mandatory field (the field must be completed if certain conditions are met).

* Always Required * Required under certain conditions

Route of Administration:	*	<input type="button" value="Add"/> <input type="button" value="Remove"/>
Dosage Form:	*	<input type="text" value="Select a dosage form"/>
Container Type:	*	<input type="text" value="Select a container type"/>
Container Volume:	*	<input type="text" value="Enter a number"/> <input type="text" value="Select a container volume"/>

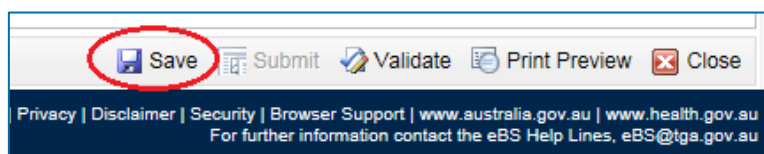
Action toolbar

The action toolbar is located at the bottom right of the form.



Saving a draft

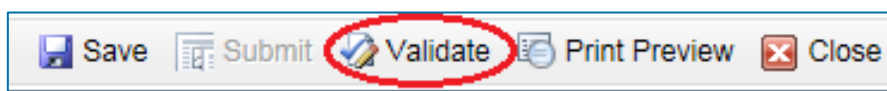
You can save a draft at any time by selecting **Save** in the action toolbar. It is recommended you save the application at regular intervals, to ensure no ongoing work is lost.



Validation

The application must pass validation before it can be submitted.

Select **Validate**, located at the bottom right hand side of the form.



The validation process checks that all compulsory fields are completed, and that information is entered in the correct format.

If there are no validation errors, the status of the form (top right of window) will change from **Draft** to **Passed Validation**.

A screenshot of the top right corner of the application window. It features a dark blue header bar with white text. On the left, 'Status: Passed Validation' is displayed, with 'Passed Validation' circled in red. To its right is 'Application Id:'. Below 'Status' is 'Client Reference:'. To the right of 'Client Reference:' is a white box containing the text 'Client reference, not seen by TGA'.

Validation errors

If the form does not validate, the status will remain as **Draft**. The top right hand corner under Failure Messages, will display the fields you need to amend. You can double click on the message and the system will take you to the field where updating is required.

A screenshot of the application form's right-hand pane. At the top, a message states: 'Validation has been halted. Please run validation again when these errors have been fixed. Further errors may be found.' Below this is a section titled 'Failure Messages', which is circled in red. This section contains a list of error messages: 'The proposed therapeutic indications must be entered', 'A dosage form must be entered', 'A container type must be entered', 'Visual identification of the dosage form must be entered', 'A sterilisation method must be selected', 'A route of administration must be added', 'A pack size and poison schedule must be added', 'Shelf life details must be added', 'At least one ingredient must be added', and 'At least one Manufacturer must be added'. At the bottom of this list, it says: 'The application requires the following Manufacturing Step(s) to be declared: Packaging and labelling, Release for supply, Testing chemical and physical, Testing microbial, Manufacture of dosage form'.

Once you have corrected these fields, save the form and validate. Please note further validation errors may be displayed.

If you have issues with your application, please contact [Complementary medicines](#) for assistance.

Printing, deleting, and copying

Printing

You can print the application form at any time before or after submitting.

Prior to submitting the application, a copy can be found in the **Drafts** list, select the drop-down arrow located on the left hand side of the draft and **Print Preview**.

Australian Government
Department of Health
Therapeutic Goods Administration

Portal - (Your name)
 Online Invoice Payment
 Public TGA Information
 News
 Help
 Training
 Secure Email
 Back to Business Portal

Drafts

Approval Area: Registered Complementary Medicines
 Sponsor: All Sponsors
 Filter on: Identifier for [] Go Reset

Date	Identifier	Client Reference	Information	Sponsor
2015-01-01	OM-2015-00000-1	None	Example Pharma	Example Pharma

Therapeutic Goods Administration | Copy | Delete | Print Preview | Security | Browser Support | www.australia.gov.au | www.health.gov.au

After submitting the application, a copy can be found in the **View Lodged Submissions** list, select the drop-down arrow and **Print Preview**.

Australian Government
Department of Health
Therapeutic Goods Administration

Portal - (Your name)
 View Drafts
 View Lodged Submissions
 Notify a Recall Action
 Create Applications & Submissions
 Lodge Supporting Documentation
 Your TGA Information
 Online Invoice Payment
 Public TGA Information
 News
 Help
 Training
 Secure Email
 Back to Business Portal

Submissions

Approval Area: All Approval Areas
 Sponsor: All Sponsors
 Filter on: Identifier for [] Go Reset

Received	Identifier	Workflow Status	Description	Product Name	Sponsor
2015-01-01	OM-2015-00000-1	Under Review	example med	example med	Example Pharma

Print Preview Withdraw

Deleting

You can delete a draft application up until it has been submitted.

Select **View Drafts** in the navigation panel.

Select the drop-down arrow next to the draft application and **Delete**.

Australian Government
Department of Health
Therapeutic Goods Administration

Portal - (Your name)
 View Drafts
 View Lodged Submissions
 Notify a Recall Action
 Create Applications & Submissions
 Lodge Supporting Documentation
 Your TGA Information
 Online Invoice Payment
 Public TGA Information
 News
 Help
 Training
 Secure Email
 Back to Business Portal

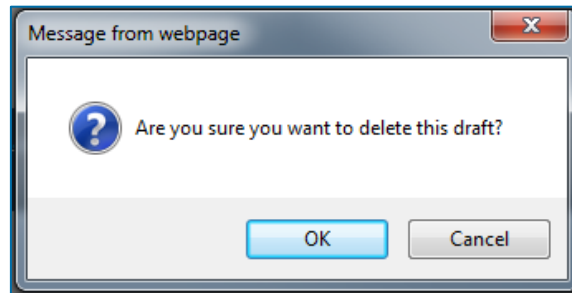
Drafts

Approval Area: Registered Complementary Medicines
 Sponsor: All Sponsors
 Filter on: Identifier for [] Go Reset

Date	Identifier	Client Reference	Information	Sponsor
2015-01-01	OM-2015-00000-1	None	Example Pharma	Example Pharma

Copy Delete Print Preview

You will need to confirm that you want to delete the draft.



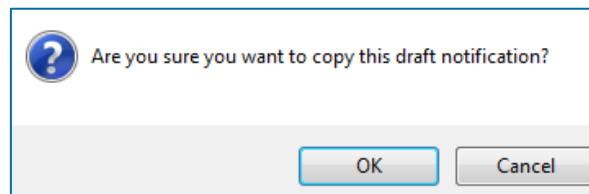
Select **OK** if you wish to proceed, or **Cancel** to go back to the **Drafts** list.

Copying

You can copy any draft application to create an additional draft, which will retain all details previously entered.

Select **View Drafts** in the navigation panel.

Select the drop down arrow next to the draft application and **Copy**.



Select **OK** and a new draft application will be created. Selecting **Cancel** will take you back to the Drafts list.

Submitting

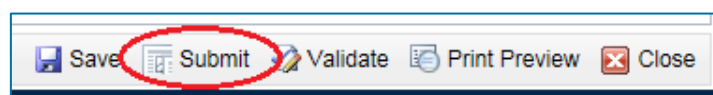


For information:

It may be useful to make a [copy](#) of your application before submitting it.

This is for cases where you need to temporarily withdraw an application, as doing so removes all the originally submitted information.

Once validation has been successful, the **Submit** button will become available and can be accessed in the action toolbar.



The Declaration

After selecting the **Submit** button, the Declaration page will be displayed. Read the declaration and either select the 'Agree' or 'Disagree' button.



Note: by selecting '**Agree**', you declare that the information given in the application is correct.

Therapeutic Goods Administration Declaration	
Declaration	
The product names stated on the labels of the therapeutic goods which are the subject of this application are:	
Product Name:	
Sponsors Business Name:	
Sponsors Business ID:	
I (Your name)	
being a person authorised to make this application hereby certify that:	
The information supplied in this application and all supporting data supplied to the TGA in connection with this app	
Any Category C ruminant ingredients included in this product have been 'self-assessed' in accordance with the TG	
I note the Therapeutic Goods Act 1989 provides penalties for making statements that are false and misleading in c	
Assurances	
I hereby declare by clicking on the 'AGREE' button below that the information given in this application and the abo	
Full name of signatory:	
Date:	
<input type="button" value="Agree"/> <input type="button" value="Disagree"/>	

Finalise the submission

Selecting **Agree** takes you to the submission step, which allows you to:

- confirm submission details;
- add comments in relation to other related submissions and special instructions;
- see the total fee (application + evaluation fee).

Therapeutic Goods Administration eBusiness Services	
Submit Application	
Submission	
Submission Selected:	
Submission Number:	123456
Client Name:	Example
Applicant Billing Address:	<input type="text"/>
Application Fee:	\$1,445
Evaluation Fee:	\$9,665
Total Fee:	\$11,110
Apply for concurrent application fee:	<input type="radio"/> Yes <input checked="" type="radio"/> No
Parent submission relating to concurrent fee:	No Submissions Available
Other related submissions:	<input type="checkbox"/>
Comments in relation to other related submissions:	<input type="text"/>
Special Instruction:	<input type="text"/>

Once completed, select **Submit** (bottom right-hand corner of the form). The **Checklist** will be displayed for you to review.

Therapeutic Goods Administration | eBusiness Services

Application Information

Checklist

The product names stated on the labels of the therapeutic goods which are the subject of this application are:

Product Name: Example

Client Name: Example

Sponsors Business Name:

Sponsors Enterprise ID: 00000

Applications in this Submission:

Name

Enquiries can be made to 02 6232

If the information is correct, select **Submit** at the bottom right-hand corner.

A notification page will be displayed which:

- confirms a successful submission;
- provides your submission number;
- gives instructions for accessing your invoice.

View lodged applications

From the TBS dashboard, there are two ways to access your submissions list:

- Select **View submissions** from the **My work** menu
- Select the **Submissions** tab in the **Work in progress** list, then select **View all submissions**

Applications Documents Your TGA

Welcome

What would you like to do today?

Example Pharma

View my organisation

My work

Work in progress View submissions

Work in progress

Submissions View all submissions

Received	Identifier	Workflow status	Description	Product name	Sponsor
01 January 2015	OM-2015-00000-1	Under Review		Example med	Example Pharma

From the navigation panel, select **View Lodged Submissions**:

The screenshot shows the Australian Government Department of Health Therapeutic Goods Administration portal. On the left, a navigation menu lists various options, with 'View Lodged Submissions' highlighted by a red circle. The main area is titled 'Submissions' and includes filter dropdowns for 'Approval Area' (set to 'All Approval Areas'), 'Sponsor' (set to 'All Sponsors'), and a 'Filter on' dropdown (set to 'Identifier'). Below these are 'Go' and 'Reset' buttons. A table displays submitted applications with columns: Received, Identifier, Workflow Status, Description, Product Name, and Sponsor. One application is listed: Received 2015-01-01, Identifier OM-2015-00000-1, Workflow Status Under Review, Description example med, Product Name example med, and Sponsor Example Pharma.

You can view submitted application by selecting the drop-down arrow located on the left hand side of the application and select **Print Preview**.

Complete an online non-prescription application form

The information below will assist you in providing the required information for completing (and submitting) an online application for an RCM or OTC medicine. The same application is used for both a new ARTG entry or changing an existing ARTG entry).



For information:

This guide is set up to walk you through this application in sequence.

Ensure you complete **ALL fields**, indicating 'nil' or 'not applicable' if required.

Application details tab

Applicant name

Pre-populated based on the TBS logon ID used.

Sponsor Name

If your organisation is authorised as an agent for other organisations in TBS, you will be able complete an application on behalf of a sponsor.

Billing address

Select the address for the sponsor from a drop-down list.

Regulatory correspondence address

Select the address for the sponsor from a drop-down list.

Contact person

Enter the contact person for this application.

This application is to:

This field defaults to 'Create a new ARTG entry'. You will need to select change a current ARTG entry if you wish to update an existing ARTG entry. Selecting this will display additional fields that will need to be completed.

Select application type: new non-prescription medicine

Application types:

- Registered complementary medicine application types will be prefaced with 'RCM'. For example, RCM1 or RCM5.
- OTC medicine applications will be prefaced with 'N'. For example, N1 or N5.

Non-prescription medicine applications are categorised according to risk. For information on the levels for non-prescription medicine applications and examples, see:

RCM1 and N1 Category type

If you select the application type RCM1 or N1, an additional field (**Parent**) will appear requiring you to select the AUST R number of the fully evaluated parent medicine.

This application is to: ☐ Change a current ARTG entry ☒ Create a new ARTG entry

Select application type: * RCM1

Parent: * AUST R: Search

Application Type: RCM1
For complementary medicines that are identical to fully evaluated and registered complementary medicines other than its name; and/or colour and/or flavour and/or fragrance. Section 23

Payment Exemption No.:

Is the product intended to replace an existing ARTG entry? ☐ Yes ☒ No

This application is to: ☐ Change a current ARTG entry ☒ Create a new ARTG entry

Select application type: * N1 Select N application types for Over-The-Counter Medicines and RCM application types for Registered Complementary Medicines

Parent: * AUST R: Search

Application Type: N1
HELP: Enter the AUST R number of the parent product. DO NOT press 'Search' if you are cloning another sponsor's product of if submitting an application for a flavour/fragrance/colour variant. Press the 'Search' button ONLY if the proposed product is a clone AND shares the same sponsor as the parent. APPLICATION TYPE: OTC 'Clone' or Flavour/fragrance/colour variant. An application to register an OTC medicine that is classified as an N1 application in accordance with the 'OTC Application Categorisation Framework' and 'OTC new medicine N1 applications'. This is an application under Section 23

Payment Exemption No.:

Is the product intended to replace an existing ARTG entry? ☐ Yes ☒ No

For information:



The Parent AUST R number needs to be entered for all RCM1 or N1 applications. By selecting the Search button for the Parent the application will be auto populated.

Please note that the search button can only be used if the Parent is owned by the applicant. If not, you will need to manually enter the individual fields of the application.

Changes to registered complementary medicine

Applications to change an ARTG entry for a complementary medicine are categorised into five levels (CN to C4 for OTC medicines and RCM-CN to RCM-C4 for RCM) based on increasing risk. The application levels determine approval, data and assurance requirements as well as applicable fees.

For information on determining the change types for your medicine, refer to:

- [Changing a registered complementary medicine: Application levels and change tables](#)
- [Changes tables for OTC medicines](#)

After you select **Change a current ARTG entry**, enter the ARTG number of the entry you want to change in the **Licence to Change** field and select **Search**.

The screenshot shows the 'Non-Prescription Medicine application' form. At the top, there are tabs for 'Application', 'Registration', 'Manufacturers', 'Products', 'Supporting Information', 'Other Regulatory Requirements', and 'Changes Made'. The 'Application' tab is active. Below the tabs, there are fields for 'Applicant Name', 'Billing Address', 'Regulatory Correspondence Address', 'Contact Person', 'Contact Telephone', 'Contact Fax', and 'Contact Email'. A red box highlights the 'This application is to:' section, which has two radio buttons: 'Change a current ARTG entry' (selected) and 'Create a new ARTG entry'. Below this, the 'Licence to Change:' field is labeled 'AUST R:' and has a 'Search' button. The 'Application Type:' and 'Payment Exemption No.:' fields are also visible. At the bottom, there are navigation buttons: 'Previous', 'Next', 'Save', 'Submit', 'Validate', 'Print Preview', and 'Close'. The footer contains the text 'Last Updated: 10/09/16 3:04 PM' and 'Therapeutic Goods Administration | Copyright | Privacy | Disclaimer | Security | Browser Support | www.australia.gov.au | www.health.gov.au | For further information contact the eBS Help Lines, eBS@tga.gov.au'.

The **Changes** box will be displayed.

The screenshot shows the 'Changes' box. It has a table with the following columns: 'Change Category', 'Change Type', 'Application Type', and 'Legislative Basis'. Below the table, it says 'No Change data available'. There is also a section for 'Assurances' with the text 'No assurances data available'. At the bottom, there is a note: 'NOTE: If any of the changes selected above require changes to the ARTG entry, you are also required to enter the new information in the relevant fields in the Registration, Manufacturers and Products tabs.'

Select **Add** and the **Change Detail** window will open.

Select the relevant **Change Category** from the drop-down list.

Change Detail

* Always Required

Change Category: *

Change Type: *

Change Type Description:

Change Type Assurances:

Comments relating to this change:

Consumer Medicine Information (CMI)
 Formulation changes - active ingredients
Formulation changes - excipient ingredients
 Label changes (including package insert) and product detail changes
 Labelling (including package insert) and product detail changes
 Manufacturing changes - finished product
 Other
 Packaging changes
 Product detail changes
 Product Information (PI)
 Quality control changes - finished product specifications
 Quality control changes - starting material specifications
 Sponsor changes

Help Save Save & Close Close

Select the **Change Type** from the drop-down list.



Note: This list will only contain the change types relevant to the change category you have selected.

Change Detail

* Always Required

Change Category: *

Change Type: *

Change Type Description:

Change Type Assurances:

Comments relating to this change:

GDU: Directions for use - changes to the dosage instructions (if grouping applies), other than changes described in GDS or LIW, where there is no requirement for supporting module 4 and/or module 5 data.
 IHN: Update of ingredient names on labelling/PI in accordance with the TGA's International Harmonisation of Ingredient Names (IHIN) project.
 LSC: Changes on label (signal headings, warning statements) in compliance with new SUSMP requirements, where the change in scheduling is to a lower SUSMP schedule where no such products have previously been approved as a complementary medicine.

Help Save Save & Close Close

Once the relevant **Change Type** have been select, the **Description** and **Assurances** fields will be pre-populated with the relevant information. You will be able to add comments.

Change Detail

* Always Required

Change Category: * Labelling (including package insert) and product detail changes

Change Type: * LSC: Changes on label (signal headings, warning statements) in

Change Type Description: LSC: Changes on label (signal headings, warning statements) in compliance with new SUSMP requirements, where the change in scheduling is to a lower SUSMP schedule where no such products have previously been approved as a complementary medicine.

Change Type Assurances:

Assurances

05) No aspects of the labelling, PI, CMI, pharmaceutical data or other product details (including manufacturing process) have been changed or are to be changed, other than changes nominated in this application and those made in conformity with the 'Changes table'.

08) The change is in compliance with a requirement introduced in the most recent version or amendment of the Standard for the Uniform Scheduling of Medicines and Poisons (SUSMP).

Comments relating to this change:

Help Save Save & Close Close

Select Save and Close.

Multiple change types can be added.

Changes: Add Remove

Change Category	Change Type	Application Type	Legislative Basis
<input type="checkbox"/> Labelling (including package insert) and product detail changes	LSC: Changes on label (signal headings, warning statements) in compliance with new SUSMP requirements, where the change in scheduling is to a lower SUSMP schedule where no such products have previously been approved as a complementary medicine.	C3-RCM	Section 90(3)

As a result of the Change Types listed above, the following Assurances are required:

Assurances

05) No aspects of the labelling, PI, CMI, pharmaceutical data or other product details (including manufacturing process) have been changed or are to be changed, other than changes nominated in this application and those made in conformity with the 'Changes table'.

08) The change is in compliance with a requirement introduced in the most recent version or amendment of the Standard for the Uniform Scheduling of Medicines and Poisons (SUSMP).

NOTE: If any of the changes selected above require changes to the ARTG entry, you are also required to enter the new information in the relevant fields in the Registration, Manufacturers and Products tabs.

Application Type: C3-RCM

Payment Exemption No.:



For information

After entering the changes codes you need to ensure that you make the actual change in your application.

Payment exemption number

Exemption from payment is allowed in exceptional circumstance and only available upon written authorisation for TGA.

Registration details tab

Product name

For a new registration application, enter the product name as it is to appear on the ARTG, as follows:

For medicines with single active ingredients:

LABEL NAME + generic name of active + strength of active + dosage form + container type

For products with multiple active ingredients:

LABEL NAME + dosage form + container type

For a change application, only amend this field if proposing a change to the proprietary name.

Export names

To add export names, select **Add**. A free text box is displayed and the export name(s) can be entered. Multiple export names can be entered.

Therapeutic Goods Administration | eBusiness Services

Non-Prescription Medicine application

Application | **Registration** | Manufacturers | Products | Supporting Information | Other Regulatory Requirements | Changes Made

* Always Required * Required under certain conditions

Product name: * product

Export Names:

Add **Remove**

Export Name

No export names data available

With this application, is the sponsor seeking a brand equivalence statement for the purpose of Pharmaceutical Benefits Scheme (PBS) Listing? ☐ Yes ☒ No

Export Name Detail

* Always Required

Export name: *

Help **Save** **Save & Close** **Close**

Manufacturer details tab

For change applications, this tab will be pre-populated with the details of the existing ARTG entry.

Manufacturers

To add manufacturers, select **Add**. The manufacturer details box will be displayed.

Therapeutic Goods Administration | eBusiness Services

Non-Prescription Medicine application

Application | Registration | **Manufacturers** | Products | Supporting Information | Other Regulatory Requirements | Changes Made

* Always Required * Required under certain conditions

Manufacturers:

Add **Remove**

Manufacturer Manufacturing Steps Location

Manufacturer Details

* Always Required * Required under certain conditions

Manufacturer: * ☒ Australian ☐ Overseas

Context: ☒ Name ☐ Manufacturer ID ☐ Licence ID

Enter part, or all, of a manufacturer's name; or enter the whole of an id, then click Search.

Search **Close**

Enter part, or all of a manufacturer's names or ID and select Search.

Details of the manufacturer will be displayed.

The screenshot shows the 'Manufacturers' tab in the application. A 'Manufacturer Details' dialog box is open, displaying the following information:

- Name:** Manufacturer name (dropdown menu)
- Manufacturer Site:** Manufacturer site (dropdown menu)
- Clearance/Licence ID:** MI-2016-CL-example-1
- Steps performed by this manufacturer:**
 - ☐ API - Active pre-mix
 - ☐ Manufacture of dosage form
 - ☐ Packaging and labelling
 - ☐ Release for supply
 - ☐ Secondary packaging
 - ☐ Sterilisation
 - ☐ Testing chemical and physical
 - ☐ Testing microbial

At the bottom of the dialog box, there are buttons for 'Help', 'Save & Close', 'New Search', and 'Close'.

Select the 'Steps performed by this manufacturer' and **Save & Close**.

To remove manufacturers, select the check box next to the entry you wish to delete, and **Remove**.

Product details tab

For change applications, the fields in this tab will be pre-populated with the information from the existing ARTG entry.

Proposed therapeutic indications

Enter the proposed indications for the medicine.

Additional appliance

Include details if your product contains an additional appliance, e.g. a measuring device.

Dosage form

A dosage form can be selected from the drop-down list or begin entering your proposed dosage form, which will then display a filtered list of possible options from the available list.

Additional Appliance:

Dosage Form: *

Visual identification of dosage form: *

- Tablet, chewable
- Tablet, dispersible
- Tablet, effervescent
- Tablet, enteric coated
- Tablet, film coated
- Tablet, gelatin coated
- Tablet, modified release
- Tablet, multilayer
- Tablet, orally disintegrating
- Tablet, soluble
- Tablet, sugar coated
- Tablet, uncoated
- Tea
- Tincture

Route of Administration: *

Is this product supplied sterile? * ☒ Yes ☐ No

Visual identification of dosage form

Enter the medicine's visual appearance (visual ID), as specified in the medicine's Finished Product Specifications document, for example:

- white, circular, biconvex tablets.

Route of administration

A route of administration can be added by selecting **Add**.

Application | Registration | Manufacturers | **Products** | Supporting Information | Other Regulatory Requirements | Changes Made

Additional Appliance:

Dosage Form: *

Visual identification of dosage form: *

Route of Administration: **Add**

Is this product supplied sterile? * ☒ Yes ☐ No

Method(s) of Sterilisation: *

Ingredients: *

Route of Administration:

No Route of Administration data available

Route of Administration: *

You can either select a route from the drop-down list or begin entering your proposed route, which will then display a filtered list of possible options from the available list. Multiple routes of administration can be selected.

Route of Administration

* Always Required

Route of Administration: *

- Ophthalmic
- Oral
- Oral Application
- Oromucosal
- Otic

Is the product supplied sterile?

For 'is the product supplied sterile?', select **Yes** or **No**.

If **Yes**, select the relevant 'method(s) of sterilisation' from the drop down list. You can also begin entering the sterilisation method, which will then display a filtered list of possible options.

If you have selected **No**, the 'method(s) of sterilisation' will be hidden.

Ingredients

To add ingredients, select **Add** to open the **Ingredient** search box.

In the **Ingredient** search box, select the appropriate **Formulation Type** and **Ingredient Role**.

- Select search by **name** or **proprietary ingredient by ID**
- Enter either:
 - **Ingredient Name**
 - **proposed ingredient name**
- If you are searching for an ingredient name, enter your search term and select **Search**. This will open the **Ingredient** details box, and enter required fields.

If a component needs to be added, select **Add** in the **Component** section. This will open the **Add Equivalent** search box to either search for a component, or enter a proposed component name.

When searching for a component (for example, pollen), you can enter either a full or partial term to search.

Once your component name is selected, enter the quantity of the component and Save.

Additional components can be entered. When completed **Save & Close**.

Container type

Container types can be selected from the drop-down list.

Product container and shelf life details

Select **Add** to open the **Product Container** and **Shelf life details** box.

The following details can be added:

- Container material
- Container closure

- Container condition
- Product shelf life
- Product storage conditions
- Additional shelf life information

When completed select **Save & Close**.

Pack size and poison schedule

Select **Add** to open the **Pack Size and Poison Schedule details** box.

Pack size: Select the **Help** button for information on pack sizes.

Poison schedule: Select from the drop-down list.

When completed select **Save & Close**.

Supporting information tab

Supporting information should be attached as a single zipped file or sent separately. The size of an attached file is limited to 100 megabytes.

The screenshot shows the 'Supporting Information' tab in the TGA application portal. It includes a table for 'Attachment Name' and 'Document Description'. A modal window titled 'Attachment Details' is open, showing fields for 'Description' and 'Supporting Document' with a 'Browse...' button. The modal also includes a 'Help' button, a 'Save & Close' button, and a 'Close' button.

Description

Title of the document is entered.

Supporting document

Selecting the **Browse** button will open a window that will allow you to search and select the relevant file to upload.

Select **Save & Close** to attach the file to your application.

Invoice and payment

Once you have submitted your application, an invoice will be created that comprises of:

- an application fee (non-refundable)
- a pre-determined base evaluation fee (refer to [Summary of fees and charges](#)).

Options on how to make your payment, refer to [Payment options](#).



Important:

Your application will not proceed until both the application fee and base evaluation fees have been paid and the supporting information has been received.

Preparing to make an application

Useful information for preparing your application can be found in the TBS portal under '**Public TGA information**'. These include:

- Code Tables
- Ingredients
- Ingredients – Proprietary

Code tables

The code tables provide terminology for use in product applications. Data in certain drop-down lists in a RCM or OTC medicine application is populated from Code Tables. Sponsors can view this information prior to creating an application by selecting the '**Code Tables**' in the TBS navigation panel.

Codes Tables that are most useful for preparing a registered non-prescription medicine application are:

- Dosage Forms
- Dosage Form Group
- Manufacturing Steps
- Manufacturing Steps Group



For information

Note the Code Tables display information for all type of applications e.g. Listed medicines, Non-Prescription Medicines and Prescription Medicines. Therefore, some of the information found in the Code Tables is not applicable to a RCM or OTC medicine application.

Manufacturers

RCM and OTC medicines must be manufactured in accordance with [Good Manufacturing Practice \(GMP\)](#) (unless they are [exempt from manufacturing requirements](#)).

You must ensure that your manufacturer has a licence or clearance for the steps of manufacture required for your type of medicine and dosage form.

Manufacturing steps

There are eight manufacturing steps that can be included in a registered non-prescription medicine application. Five of these steps are mandatory in all applications. The other steps must be included in the medicine application in certain situations. Each step can be undertaken by more than one manufacturer but all mandatory steps must be covered by a manufacturer.

Manufacturing step	Mandatory
Manufacture of dosage form	Yes
Packaging and labelling	Yes
Release for supply	Yes
Secondary packaging	No
Testing chemical and physical	Yes
Testing microbial	Yes
API – Active pre-mix	No (except when using an active premix)
Sterilisation	No (except when the product is sterile)

The system will not validate and consequently not allow the medicine to be registered if the manufacturer does not hold a valid licence/certificate with the exact manufacturing step match, unless they have been licenced for a manufacturing step group which contains the specific step.

Further information about what is covered by a particular manufacturing step group or dosage form group can be found in the relevant [TBS Code Table](#).

Manufacturer's GMP Licence or Clearance – Product Details

The product details of the manufacturer's licence/clearance will specify the dosage form, product category and manufacturing step that the manufacturer is able to conduct.

For RCM and OTC medicines:

- The product category must be 'Registered Therapeutic Good'.
- The manufacturing step must be one of the eight described in the above manufacturing step table or be a manufacturing step group that includes one of the seven described steps.

For example, the 'Finished Product Manufacturer' group includes the following individual manufacturing steps: 'Release for supply', 'Secondary packaging', 'Manufacturer of dosage form', 'Testing chemical and physical', 'Testing microbial' and 'Packaging and labelling'.

'Active material manufacture' is not a manufacturing step group and it is an individual manufacturing step that does not work in non-prescription medicine application form.

- The Dosage Form in your GMP licence or clearance must be one of the Dosage forms described in the below Dosage forms table or in a dosage form group which includes the dosage form that you intend to use in your medicine application.

Dosage Forms

The dosage form is the pharmaceutical form in which a product is presented for therapeutic administration, e.g. tablet or cream. Select the dosage form that best suits the application being submitted from the drop down list. Descriptions of the dosage forms can be found in the Code Table for dosage forms.

In an application for a GMP licence or GMP clearance, single or group items can be selected. For example, the single dosage form 'Liquids' or the group dosage form 'Liquids Group'.

Dosage forms that can be used in a registered non-prescription medicine application are:

Dosage form	Dosage form
Application	Ear Drops, suspension
Bar, soap	Enema
Block	Essential Oil
Capsule, enteric	Extract
Capsule, hard	Extract, concentrated
Capsule, modified release	Extract, dry
Capsule soft enteric	Extract, liquid
Capsule, soft	Extract, soft
Cream	Eye Drops
Collodion	Eye Drops, emulsion
Drug delivery system	Eye Drops, powder
Drug delivery system, ocular	Eye Drops, solution
Drug delivery system, transdermal	Eye Drops, suspension
Diluent, not applicable	Eye Ointment
Dressing, medicated	Eye and Ear Drops
Ear Drops	Eye and Ear Ointment
Ear Drops, emulsion	Gel
Ear Drops, powder for	Gel, eye
Ear Drops, solution	Gel, modified release

Dosage form
Granules, effervescent
Granules, enteric-coated
Granules, modified release
Granules
Gum, chewing
Herb, dried
Inhalation, conventional
Inhalation, powder for
Inhalation, pressurised
Inhalation
Insufflation
Liquid, multipurpose
Liquids, tinctures
Liniment
Lotion
Lozenge
Mouthwash
Nasal Drops
Nasal Drops, emulsion
Nasal Drops, powder for
Nasal Drops, solution
Nasal Drops, suspension
Ointment

Dosage form
Oral Liquid, powder for
Oral Liquid, solution
Oral Liquid, suspension
Oral Liquid
Oral Liquid, syrup
Pad, impregnated
Paint, concentrated
Paint, powder for
Paint
Paste
Pastille
Patch, dermal
Pessary, compressed
Pessary, modified release
Pessary, moulded
Pessary, shell
Pessary
Pill
Powder, dusting
Powder, dusting, sterile
Powder, oral
Powder
Solution, irrigation

Dosage form
Solution, powder for
Solution
Soluble Film
Spray, nasal
Spray, pressurised
Spray, solution
Spray, suspension
Spray
Stick, lip
Stick
Suppository, compressed
Suppository, moulded
Suppository, shell
Suppository
Suspension, powder for
Suspension

Dosage form
Tablet, chewable
Tablet, dispersible
Tablet, effervescent
Tablet, enteric coated
Tablet, film coated
Tablet, gelatin coated
Tablet, modified release
Tablet, multilayer
Tablet, orally disintegrating
Tablet, soluble
Tablet, sugar coated
Tablet, uncoated
Tea
Tincture
Wafer
Wipe, medicated

Ingredients of animal origin

All therapeutic goods containing products of animal origin must comply with the *Ph. Eur* general monograph 1483: Products with risk of transmitting agents of animal spongiform encephalopathies. The assessment for animal derived material must be against the principle and requirements detailed in the *Ph. Eur* monograph, and can be conducted:

- For low risk materials, by self-assessment; and
- For all other materials, by TGA evaluation.

Additional information can be found [Transmissible Spongiform Encephalopathies \(TSE\): TGA approach to minimising the risk of exposure](#).

You may need a pre-clearance prior to starting your application.

Trouble shooting in the TBS portal

The TBS portal successfully processes a large number of applications each year; however, occasionally there are system errors that may occur from time to time.

If an application is not behaving in a manner you expect, please DO NOT submit the application. Instead, use this trouble shooting guide below to investigate the issues.

If you are unable to rectify the issue, contact [Complementary medicines](#) In your correspondence please include the following:

- application ID (located in the top right corner of your application)
- screen shot of the validation messages and relevant sections of the form
- details of the changes required (applicable to change applications only).

Common IT issues

Issue	Suggestion
When validating, there is an instant validation error.	Stop the validation process and re-validate.
The system is responding slowly or a jumbled screen has appeared.	<p>Clear the cache in the web browser.</p> <p>Internet Explorer: Press CTRL-SHIFT and click on the refresh button in the address bar at the same time.</p> <p>Firefox: Press Shift and click on the refresh button in the address bar at the same time.</p>
Your application fails validation.	<p>Read Validation error message carefully and correct the application as per instructions in the Validation message.</p> <p>Double click on the validation error to be directed to the field that is affected.</p> <p>You may need to check the requirements if the error is unexpected.</p>
The following system error message appears - "www.ebs.tga.gov.au says: An error occurred while updating some of the page..."	Try re-validating the application until the message disappears. If not contact the TGA via the above email.

Issue	Suggestion
When validating an application the following error message appears stating information is required in a particular field, even though the information has been added to the application.	Remove the information, save and validate the application. Re-add the information, save and validate the application.
The validation message appears – Manufacturer is not valid for dosage form.	<p>Check the manufacturer's GMP licence or clearance and compare with the dosage form in the medicine application.</p> <p>The product dosage form must be an exact match with the Manufacturer's licence or clearance or be covered by a dosage form group.</p> <p>For example, tablet film-coated is covered by the dosage form group Solid Unit Dosage Forms – Tablets.</p>
Your application has validated successfully but when you select 'Submit' – the application field is blank.	Ensure that the correct billing address and sponsor name has been selected on any filters.
Your application does not appear in the submission window.	After proceeding to Submission, if a window does not appear advising you of the cost and type of application, your application has not been submitted correctly. You should attempt to re-submit.
Your draft application has disappeared from the system.	<p>Draft application will remain in the system for 365 days. If the application has not been edited in that time, the system will automatically delete it.</p> <p>To prevent this, open the draft and select save.</p>

Issue	Suggestion
Cannot find my medicine in the 'Change Multiple ARTG entries' form	<p>Registered complementary medicines cannot be changed in the 'Change Multiple ARTG entries' form.</p> <p>Check that all medicines being changed are OTC medicines.</p> <p>There are limited changes that can be applied for through the 'Change Multiple ARTG entries' form:</p> <ul style="list-style-type: none"> • manufacturing details • shelf life • visual identifier • labelling changes under section 9D¹ (where there is no change to the fields in the application form).
One or more of the selected medicine did not pass validation in the 'Change Multiple ARTG entries' form	<p>All medicines must be current and pass validation before using the 'Change Multiple ARTG entries' form.</p> <p>You may need to submit separate applications for the medicines that did not pass and address the validation issues separately.</p>

¹ Changes under section 23 of the Act (known as 'grouping' changes) cannot be made through the 'Change Multiple ARTG entries' form. For further information, see [Changing an OTC medicine: using the Changes Tables](#).

Version history

Version	Description of change	Author	Effective date
V1.0	<p>Information extracted from ARGCM pages 122-147 to create standalone user guide. The guidance has also been amended to include references to OTC medicine applications as these medicines use the same on line application portal as RCM applications.</p> <p>Additional information provided on Code tables and common IT issues</p>	Complementary and over the counter medicines branch	May 2020

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