

WSMI Regulator's Forum - October 19-20, 2017

# Switzerland's Current Reclassification Experience and How to Mitigate Inherent Risks



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## Ordinary Revision of the Therapeutic Products Act (Stage 2) & Therapeutic Products Ordinance Package IV

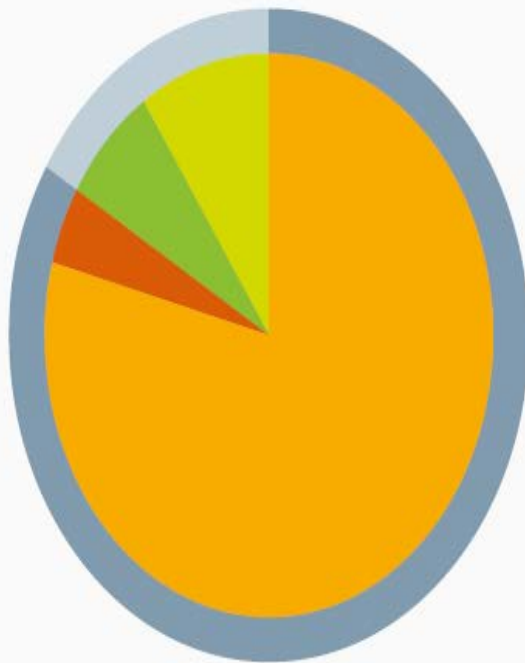
- **Facilitating market access:**

Creating new and simpler access opportunities for certain medicinal product categories (especially medicinal products approved in an EU or EFTA country, medicinal products with traditional uses and medicinal products already approved in a canton, as well as various medicinal products used in complementary medicine);

**simplifying self-medication by modified allocation of the medicinal products to the different supply categories, and an easing of the supply requirements.**

## Pharmaceutical market by reimbursability according to value

Market volume 2016: CHF 5,594.8 million (at ex-factory prices, 100%)

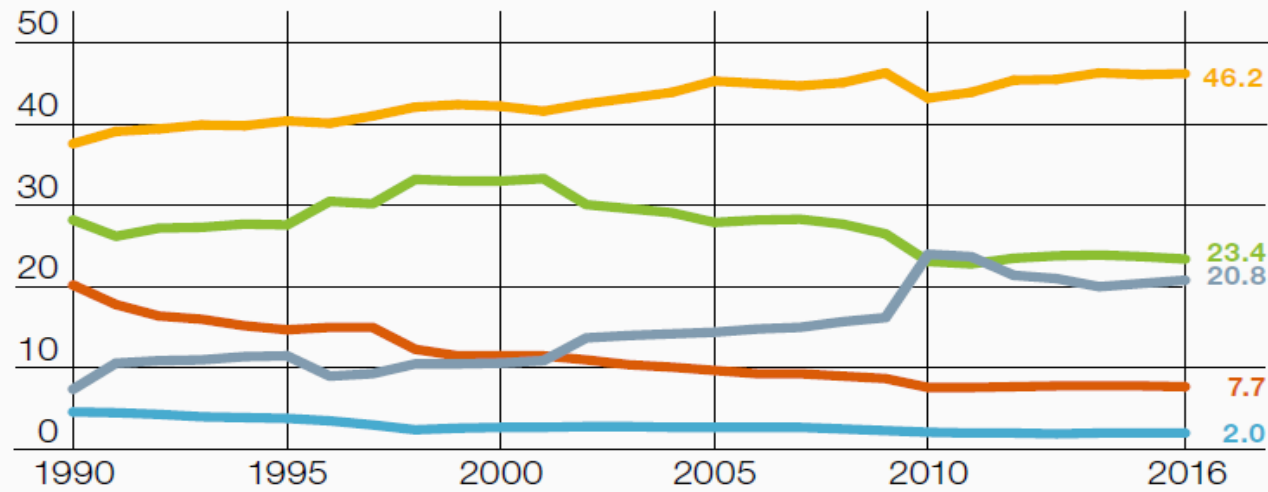


■	<b>Reimbursable products</b>
	<b>83.8% (CHF 4,689.0 million)</b>
■	Prescription only
	79.3% (CHF 4,439.6 million)
■	Over the counter
	4.5% (CHF 249.4 million)
■	<b>Non-reimbursable products</b>
	<b>16.2% (CHF 905.8 million)</b>
■	Prescription only
	6.9% (CHF 386.7 million)
■	Over the counter
	9.3% (CHF 519.1 million)

A	Rx no refill
B	Rx
C	Dispensed by Pharmacist
D	OTC - Dispensed with counselling
E	General Sales

## Dispensing categories over time

Medicines by dispensing category<sup>1</sup> (in %)



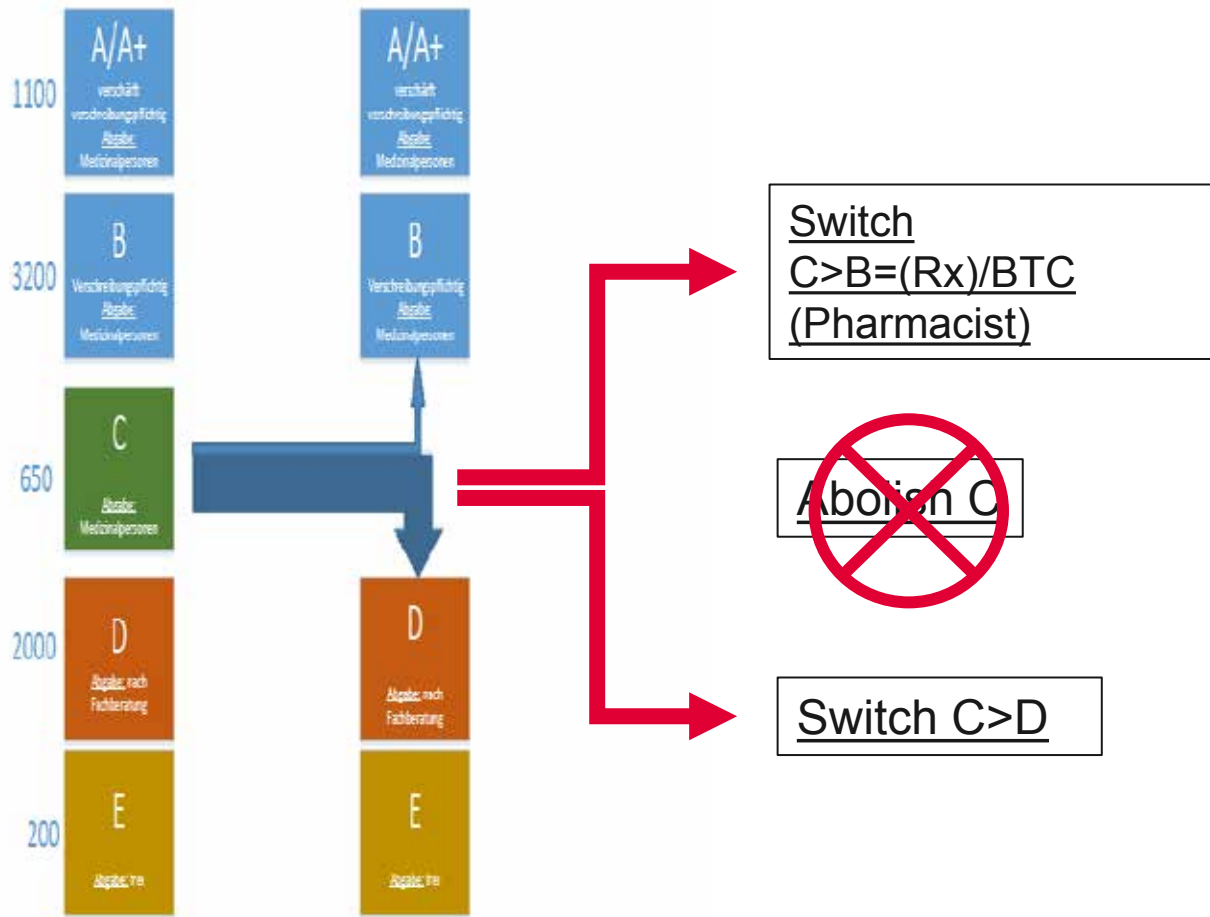
- A: Dispensed once on medical or veterinary prescription
- B: Dispensed on medical or veterinary prescription
- C: Dispensed after consultation with medical professional (pharmacies)<sup>2</sup>
- D: Dispensed after specialist consultation (pharmacies and drugstores)<sup>2</sup>
- E: Dispensed without specialist consultation<sup>2</sup>

Source: Annual reports, various years, Swissmedic.

A	Rx no refill
B	Rx
C	Dispensed by Pharmacist
D	OTC - Dispensed with counselling
E	General Sales

# Reclassification

Anzahl Präparate



A	Rx no refill
B	Rx (& BTC)
C	Dispersed by pharmacist
D	OTC - Dispersed with counselling
E	General Sales

Principles:

Scientific (clin pharmacology),  
clinical

Swissmedic Project Team

Define:  
Criteria  
Work packages

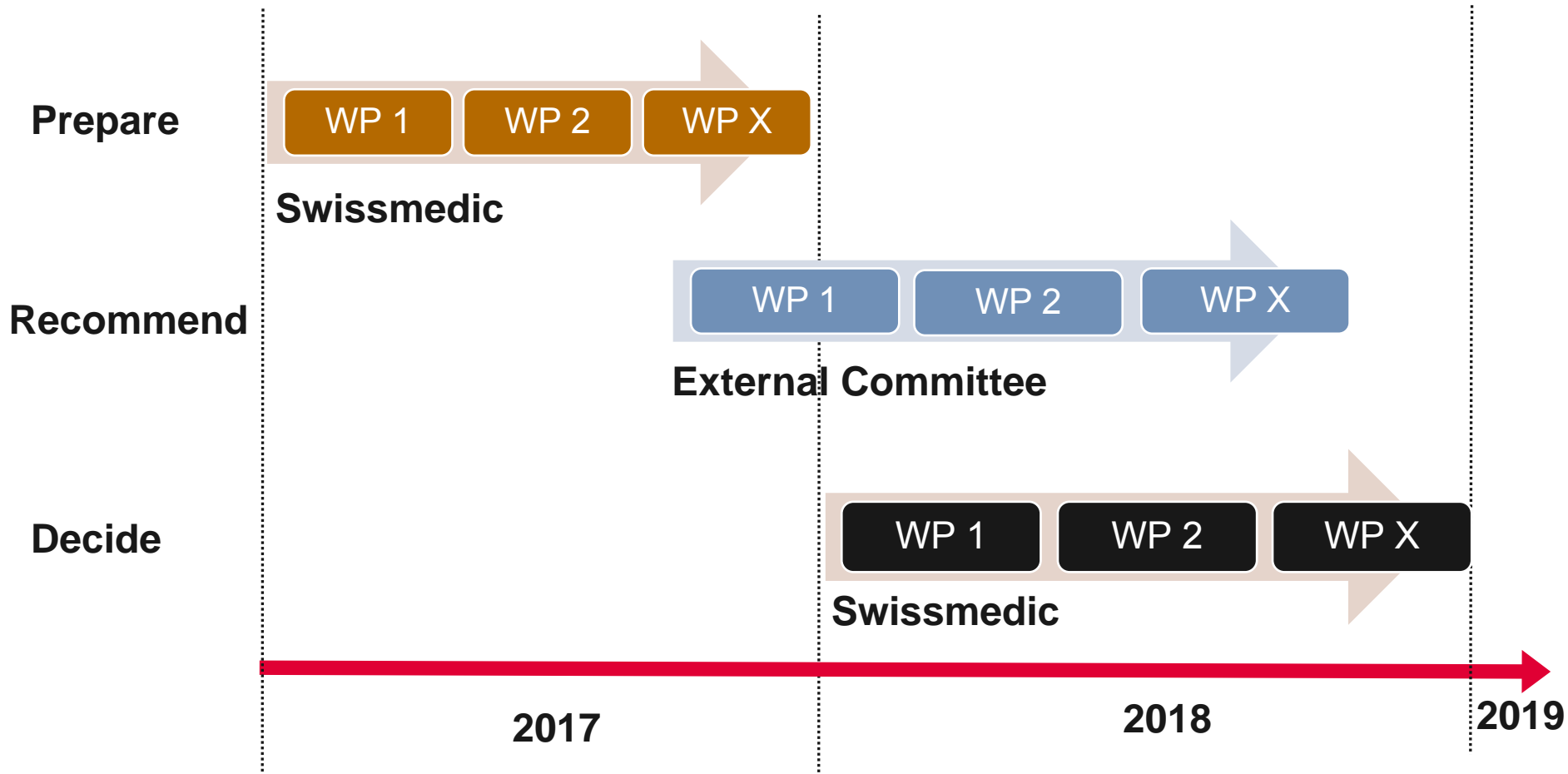
Evaluate /  
Allocate

Decision

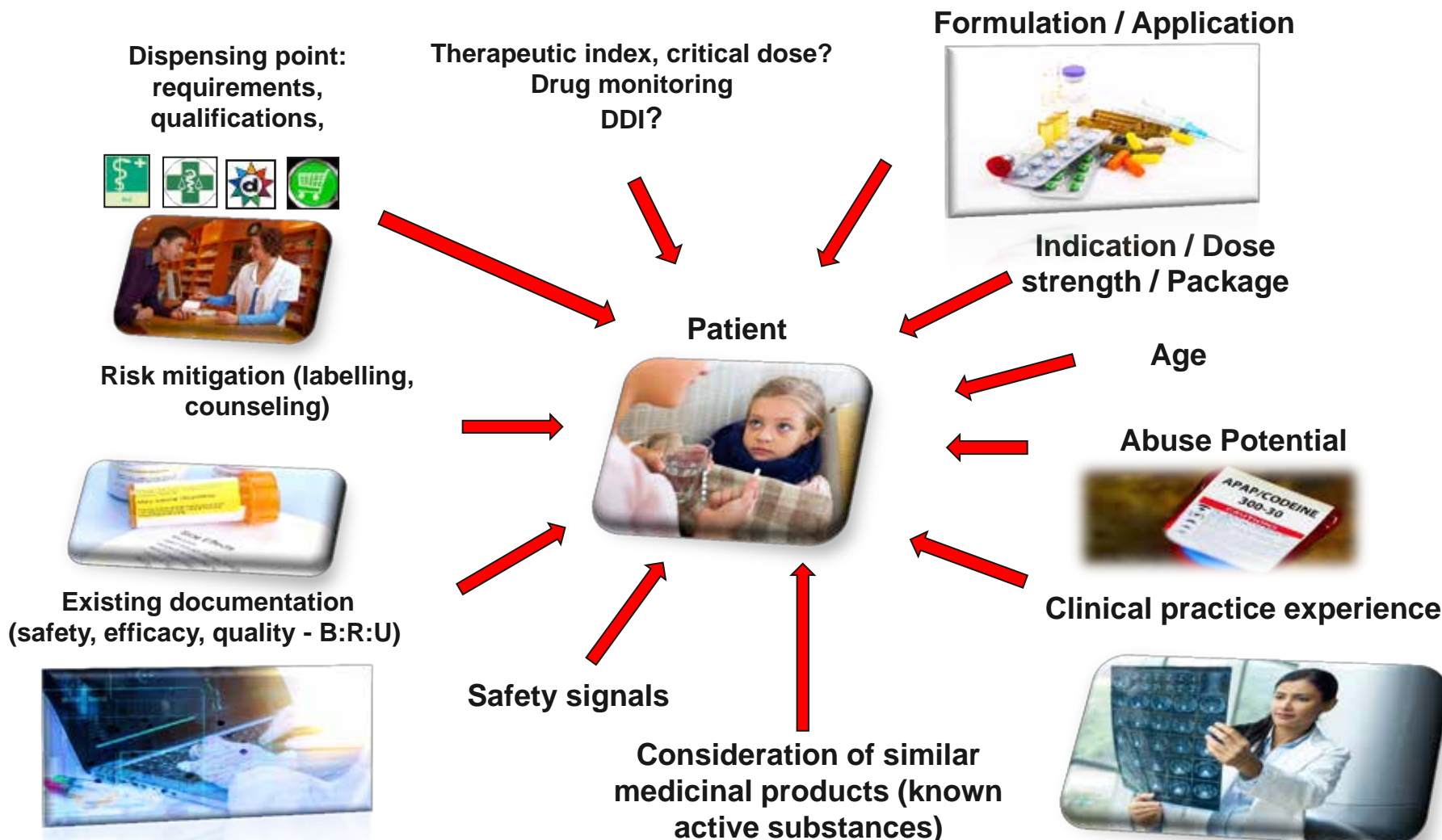
Validate

Recommen  
dation

External Committee









# Switch Decision



## Criteria (CH)

- Pharmacological action (MoA)
- Acute & chronic toxicity
- Clinical experience (ADR, tolerability)
- Indication
- Abuse potential
- Need for diagnosis & monitoring



Review

## A Decision-Analysis Tool for Benefit-Risk Assessment of Nonprescription Drugs

The Journal of Clinical Pharmacology  
53(5) 475-482  
© The Author(s) 2013  
DOI: 10.1002/jcph.22



## ICH HARMONISED TRIPARTITE GUIDELINE

## PERIODIC BENEFIT-RISK EVALUATION REPORT (PBRER)

## E2C(R2)

Current *Step 4* version

dated 17 December 2012

For PBRERs for products with **several indications, formulations, or routes of administration**, where there may be significant differences in the identified and potential risks, it may be appropriate to present risks by indication, formulation, or route of administration. Headings that could be considered include:

- Risks relating to the active substance;
- Risks related to a specific formulation or route of administration (including occupational exposure);
- Risks relating to a specific population; and
- Risks associated with **non-prescription use** (for substances that are available as both prescription and non-prescription products).

Provide a clear explanation of the methodology and reasoning used to develop the benefit-risk evaluation:

- The assumptions, considerations, and judgement or weighting that support the conclusions of the benefit-risk evaluation should be clear.
- If a formal quantitative or semi-quantitative assessment of benefit-risk is provided, a summary of the methods should be included.

Economic considerations (e.g., cost-effectiveness) should not be included in the benefit-risk evaluation.



EFFICACY - M4E(R2)

When **describing** the benefit-risk assessment, the following additional aspects should be considered:

- The impact of the therapeutic context on the assessment, which may include information on the **patient perspective** if available. This discussion should consist of the following:
  - how the **severity of disease** and expected benefit influence the **acceptability of the risks** of the therapy.
  - how the medicinal product addresses a medical need.
- Key aspects of risk management that are important in reaching a favourable benefit-risk assessment, such as:
  - the proposed **labeling**.
  - whether non-responders can be readily identified allowing them to discontinue treatment.
  - other **risk management** activities, such as registries or **restricted distribution** systems.

There are many approaches available for conducting the benefit-risk assessment. This guideline **does not prescribe a specific approach**. A **descriptive approach** that explicitly communicates the interpretation of the data and the benefit-risk assessment will generally be adequate. An applicant may choose to use **methods that quantitatively** express the underlying judgments **and uncertainties** in the assessment. Analyses that compare and/or weigh benefits and risks using the submitted evidence may be presented. However, before using any method,

## AW-Working instructions Standard Operating Procedure (SOP) for Preparation of Clinical Assessment Reports

### 2.5 If applicable: Paediatric Investigation Plan (PIP)

### 2.6 Assessment 1

#### 2.6.1 Preliminary Benefit-Risk Assessment

*Not all submitted data have equal importance to the critical assessment of benefits and risk. It is acceptable to give preferential attention to the key elements and summarize other data by means of a short description.*

*The tabular Benefit-Risk Framework below is meant as a tool in the decision making process, it is not meant to replace free text descriptions of the benefit risk assessment.*

- *The utility of the Framework needs to be determined from case to case.*
- *The Framework is meant as an aid and mental map to make the assessments more structured and more systematic, the tool cannot replace judgment.*
- *The Framework should aid the reader of the report to get an efficient overview and summary what were the key data, uncertainties, their interpretation and conclusions from all five dimensions which are driving the benefit-risk assessment.*

*Refer also to Appendix 3 for further explanations about this Benefit-Risk Framework.*

Decision Factor	Evidence and Uncertainties	Conclusions and Reasons
Analysis of Condition		
Current Treatment Options		
Benefit		
Risk		
Risk Management		
Benefit Risk Summary Assessment		

## Regulator

- B:R:U
- Label / PI
- Communication

## Patients

- Self-diagnose
- Self-treat
- Self-manage


## Applicant

- Ø PBRER
- Ø Quality
- Ø Distribution

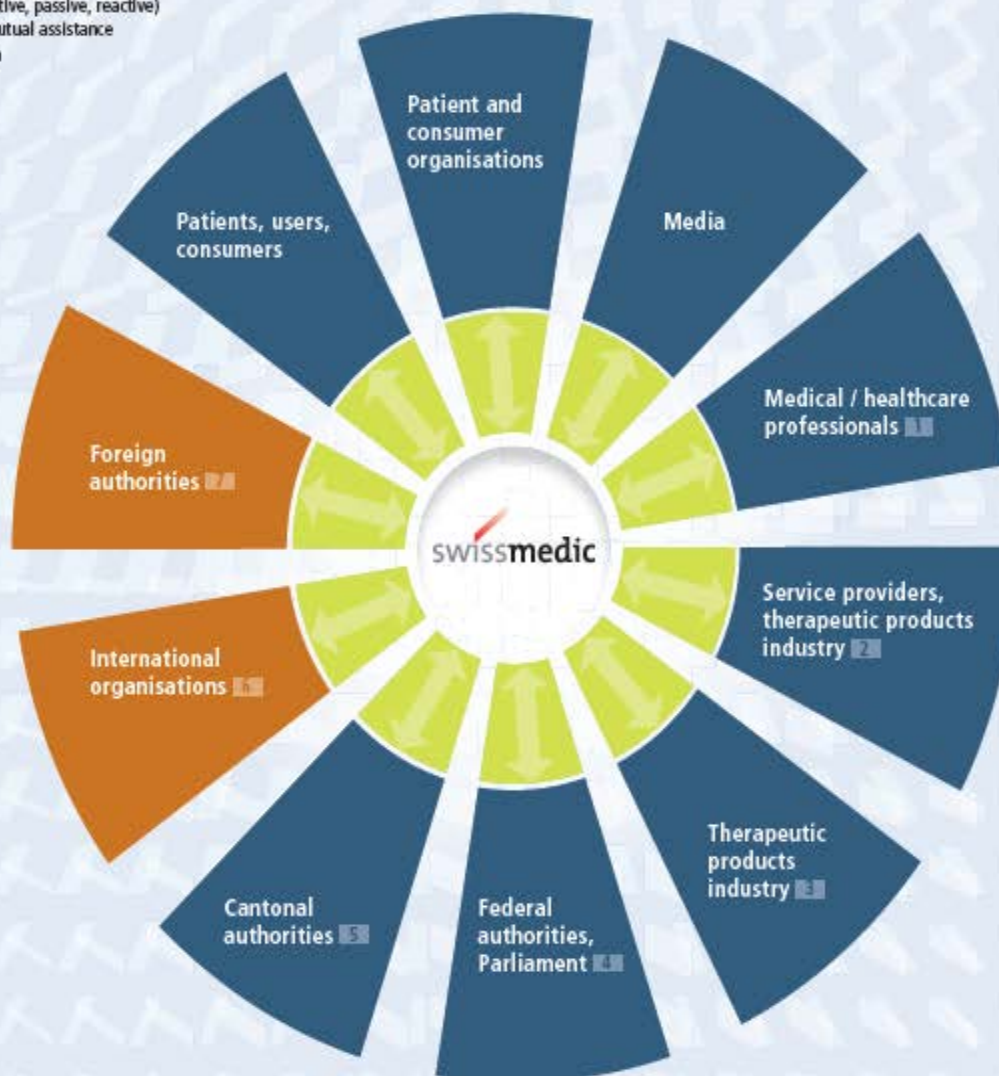




# Focal points of the collaboration

 Provision of Information (active, passive, reactive)  
 Exchange of Information, mutual assistance  
 Co-ordination, collaboration

 National  
 International



1

- Physicians / Veterinarians
- Pharmacists
- Druggists
- Others

2

- Initial / further training institutions
- Contract research organisations

3

- Associations
- Firms

4

- Parliamentary commissions / delegations, etc.
- National authorities (Federal Office of Public Health, Federal Food Safety and Veterinary Office, State Secretariat for Economic Affairs, etc.)

5

- Cantonal offices (e.g. Cantonal Inspectorates)
- Cantonal Pharmacists
- Cantonal Medical Officers, Cantonal Veterinarians
- Conference of Health Directors

6

- World Health Organization (WHO)
- International Conference on Harmonisation (ICH)
- European Commission
- Council of Europe
- Organisation for Economic Cooperation and Development (OECD)
- Pharmaceutical Inspection Co-operation Scheme (PIC/S)
- European Free Trade Association (EFTA)
- Others

7

- Australia
- Brazil
- Chinese Taipei
- Germany
- Ireland
- Israel
- Japan
- Canada
- Liechtenstein
- New Zealand
- Singapore
- South Korea
- USA
- Others

	Patient	Regulator	Applicant
<b>Benefit</b>	<ul style="list-style-type: none"> <li>• Empowered</li> <li>• Preference</li> <li>• Improved access</li> </ul>	n/a	Distribution channel
<b>Risk</b>	<ul style="list-style-type: none"> <li>• Unintended &amp; intended misuse</li> </ul>	Mandate	Liability
<b>Un-certainty</b>	<ul style="list-style-type: none"> <li>• Correct diagnosis (delay)?</li> <li>• Application?</li> <li>• Co-medication</li> </ul>	<b>Communication</b> (patient information)  Consumer literacy	Guidelines

## Special cases:

- § St. John's wort
- § Corticosteroids
- § PDE-5 inhibitors
- § Antimicrobials

# FEATURE



## How new fact boxes are explaining medical risk to millions

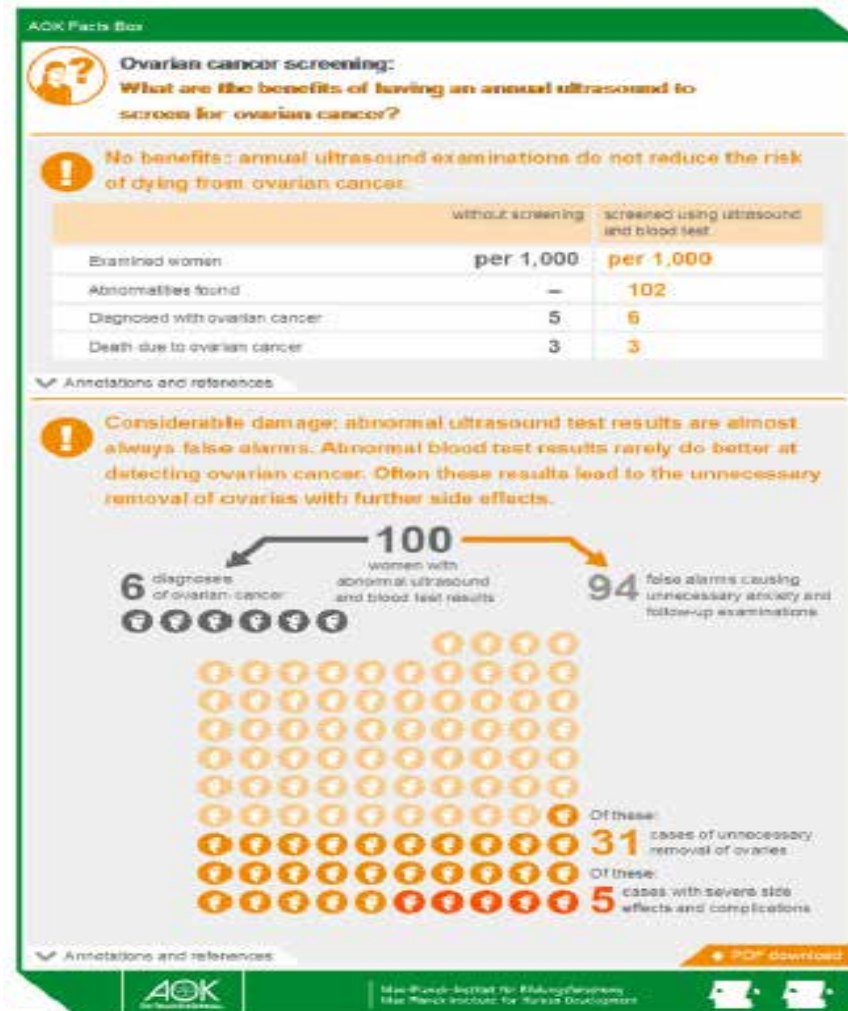
Smart “fact boxes” that communicate evidence based information on the benefits and harms of drugs and health screening are being rolled out to millions of people in Europe. **Gerd Gigerenzer** and **Kai Kolpatzik** report

Gerd Gigerenzer *director*<sup>1</sup>, Kai Kolpatzik *head*<sup>2</sup>

<sup>1</sup>Harding Center for Risk Literacy and Center for Adaptive Behavior and Cognition, Max Planck Institute for Human Development, Berlin, Germany;

<sup>2</sup>Department of Prevention, General Local Health Insurance Fund (AOK-Bundesverband), Berlin, Germany; Correspondence to: G Gigerenzer gigerenzer@mpib-berlin.mpg.de

An alien investigating healthcare on Earth would be quite puzzled. We spend billions on clinical studies but fail to ensure that patients and physicians are communicated the results transparently.<sup>1</sup> Instead they get persuasion, marketing, and, in some countries, misleading direct-to-consumer advertising.<sup>2,3</sup>



## Assembling the data

In general, fact boxes report the results from a randomised trial or, if available, a systematic review; provide quantitative, evidence based information about benefits and harms; use absolute numbers rather than relative risk reductions or other formats that are known to confuse patients and physicians; and