

From: [REDACTED]
To: [REDACTED]
Cc: [REDACTED]
Subject: MonashIVF - PGT-A - Submitted AE report - DIR-65968 [SEC=OFFICIAL]
Date: Monday, 19 October 2020 10:32:24 AM
Attachments: [image001.gif](#)
[image002.png](#)
[image003.png](#)
[image004.png](#)
[DIR-65968 - MonashIVF - PGT-A.pdf](#)

Dear [REDACTED] and colleagues,

Please find attached the submitted DIR for the suspended PGT-A testing by Monash IVF, for your information.

We have not, as yet, reviewed the submitted DIR-65968

Kind regards,

[REDACTED]

From: [REDACTED]
Sent: Monday, 19 October 2020 9:44 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Hi [REDACTED]

They have submitted the report – [REDACTED] is looking at it

Kind Regards

[REDACTED]
[REDACTED]

Devices Post Market Monitoring
Medical Devices Surveillance Branch

Phone: [REDACTED] Fax: [REDACTED]

Therapeutic Goods Administration

Department of Health
PO Box 100
Woden ACT 2606 Australia

www.tga.gov.au

For ongoing information and updates please subscribe to the TGA's [Medical Devices Information](#) and [IVDs Information](#) email subscription services.



This response is general information given to you without prejudice; it is not binding on the TGA and you should get your own independent legal advice to ensure that all of the legislative requirements are met.

Important: *This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission*

From: [REDACTED]
Sent: Monday, 19 October 2020 9:12 AM

To: [REDACTED]

Cc: [REDACTED]
[REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Good morning [REDACTED]

Please find below some notes from our teleconference with your colleagues, [REDACTED] and [REDACTED]. Could you please pass these on so that [REDACTED] and [REDACTED] can edit/confirm my notes are correct.

- Testing commenced when the laboratory obtained NATA accreditation for the test in May 2019 (test was validated over 2-3 years in clinical research trials).
- The test is a screening test for chromosomal aneuploidy (abnormal chromosome number) and only performed on embryos that are not suitable for biopsy. Further prenatal genetic testing is recommended to patients.
- The nature of the testing means that monitoring and review of test performance occurs over many months. The laboratory monitors KPI's related to expected chromosomal aneuploidy rates and identified that the test was not performing as it had been in clinical trials and a higher than expected rate of positives were being identified.
- No identified impact on false negative results and commented that it remained consistent with laboratory's reported false negative rate (as reported in the fact sheet, 9.4%).
- The consequence of a false positive is that the embryo would not have been transplanted (and potentially disposed).
- 1300 patients have been tested
- Test was immediately suspended and the laboratory notified NATA and the relevant bodies overseeing IVF services. No notification sent to the TGA (laboratory checked the TGA's website and thought the incident did not meet the criteria for a notifiable adverse event).
- Test remains suspended while the laboratory investigates the matter and revalidates the test.
- The in-house IVD regulatory requirements were briefly discussed, along with the post-market reporting requirements for adverse events. TGA advised this incident, particularly suspension of a test, would be considered an adverse event that requires reporting to the TGA.
- Laboratory to submit an adverse event report to the TGA and [REDACTED] will contact TGA this week to discuss the in-house IVD notification requirements.

Please let me know if there are any difficulties submitting the required adverse event report to the TGA and we can arrange to someone to assist.

Kind regards

[REDACTED]
[REDACTED]
Devices Emerging Technology & Diagnostics | Medical Devices Surveillance Branch
Therapeutic Goods Administration
Australian Government Department of Health

T: [REDACTED] | E: [REDACTED]

This response is general information given to you without prejudice; it is not binding on the TGA and you should seek your own independent legal advice to ensure that all of the legislative requirements are met.

From: [REDACTED]

Sent: Thursday, 15 October 2020 5:32 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

REMINDER : Think before you click! This email originated from outside our organisation. Only click links or

open attachments if you recognise the sender and know the content is safe.

Thanks [REDACTED]

I'll update our calendar invite as we sometimes have issues with external calendar invites coming through and I would hate for them to miss it.

Thanks

Monash IVF Group Limited

Pelaco Building 2

Ground Floor, 21-31 Goodwood Street

Richmond VIC Australia 3121

T: [REDACTED]

M: [REDACTED]

F: [REDACTED]

E: [REDACTED]

W: monashivfgroup.com.au



Disclaimer:

This email, including any attachments, is only for the intended addressee. It is subject to copyright, confidential, and may be the subject of legal or other privilege, none of which is waived or lost by reason of this transmission. If the receiver is not the intended addressee, please accept our apologies, notify us by return email, delete all copies of this email, including any attachments, and perform no other act on the email. Unfortunately, we cannot warrant that the email has not been altered or corrupted during transmission. Generally information which passes over the internet is not secure. If you send us any personal information using email we cannot guarantee its confidentiality or security. Furthermore email is not appropriate for urgent communication. If you require an immediate response please contact your clinic by telephone. Any personal information in this email must be handled in accordance with the Privacy Act 1988 (Cth).

From: [REDACTED]

Sent: Thursday, 15 October 2020 5:27 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Hi [REDACTED] I've included the teleconference details in the meeting invite but they are also provided below

Kind regards

Audio conferencing details: MeetMe Audio Conferencing

Australia Toll free: 1800 047396

Australia Direct: 02 8017 1300 (Sydney)

Participant passcode: 25831977 then #

From: [REDACTED]

Sent: Thursday, 15 October 2020 3:27 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

REMINDER : Think before you click! This email originated from outside our organisation. Only click links or

open attachments if you recognise the sender and know the content is safe.

Thank [REDACTED]

Once you organise conference details, please just forward it to people in the invite and I will remove my calendar appointment.

Cheers

Monash IVF Group Limited

Pelaco Building 2

Ground Floor, 21-31 Goodwood Street

Richmond VIC Australia 3121

T: [REDACTED] | M: [REDACTED] F: [REDACTED]
E: [REDACTED] | W: monashivfgroup.com.au



Disclaimer:

This email, including any attachments, is only for the intended addressee. It is subject to copyright, confidential, and may be the subject of legal or other privilege, none of which is waived or lost by reason of this transmission. If the receiver is not the intended addressee, please accept our apologies, notify us by return email, delete all copies of this email, including any attachments, and perform no other act on the email. Unfortunately, we cannot warrant that the email has not been altered or corrupted during transmission. Generally information which passes over the internet is not secure. If you send us any personal information using email we cannot guarantee its confidentiality or security. Furthermore email is not appropriate for urgent communication. If you require an immediate response please contact your clinic by telephone. Any personal information in this email must be handled in accordance with the Privacy Act 1988 (Cth).

From: [REDACTED]

Sent: Thursday, 15 October 2020 3:02 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Dear [REDACTED]

4-5pm suits. I've been told we still can't access meetings via Zoom and so I will try and set up an alternative teleconference. Will send details through soon.

I will have my colleagues from our post-market and clinical teams on the call as well

Kind regards

From: [REDACTED]

Sent: Thursday, 15 October 2020 2:51 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

REMINDER : Think before you click! This email originated from outside our organisation. Only click links or open attachments if you recognise the sender and know the content is safe.

Thanks [REDACTED]

Apologies for the time on the day but would 4pm-5pm be alright?

I will send through zoom details now.

Are there other people you would like added to the invite?

Thanks

Monash IVF Group Limited

Pelaco Building 2

Ground Floor, 21-31 Goodwood Street

Richmond VIC Australia 3121

T: [REDACTED] M: [REDACTED] F: [REDACTED]
E: [REDACTED] | W: monashivfgroup.com.au



Disclaimer:

This email, including any attachments, is only for the intended addressee. It is subject to copyright, confidential, and may be the subject of legal or other privilege, none of which is waived or lost by reason of this transmission. If the receiver is not the intended addressee, please accept our apologies, notify us by return email, delete all copies of this email, including any attachments, and perform no other act on the email. Unfortunately, we cannot warrant that the email has not been altered or corrupted during transmission. Generally information which passes over the internet is not secure. If you send us any personal information using email we cannot guarantee its confidentiality or security. Furthermore email is not appropriate for urgent communication. If you require an immediate response please contact your clinic by telephone. Any personal information in this email must be handled in accordance with the Privacy Act 1988 (Cth).

From: [REDACTED]

Sent: Thursday, 15 October 2020 2:44 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Dear [REDACTED]

Thank you for getting back to me so quickly and sorry I missed your call. We will be available any time after 1pm tomorrow.

Kind regards

Devices Emerging Technology & Diagnostics | Medical Devices Surveillance Branch
Therapeutic Goods Administration
Australian Government Department of Health

T: [REDACTED] | E: [REDACTED]

This response is general information given to you without prejudice; it is not binding on the TGA and you should seek your own independent legal advice to ensure that all of the legislative requirements are met.

From: [REDACTED]

Sent: Thursday, 15 October 2020 2:07 PM

To: [REDACTED]

Subject: RE: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

REMINDER : Think before you click! This email originated from outside our organisation. Only click links or

open attachments if you recognise the sender and know the content is safe.

Good afternoon [REDACTED]

I've just tried to phone you now to tee up a time between yourself and relevant staff.

If I could please have your availability for tomorrow afternoon and/or Monday next week, I will schedule some time.

Feel free to call me back at your earliest convenience, it would be much appreciated.

Kindly,

[REDACTED]
Monash IVF Group Limited

Pelaco Building 2

Ground Floor, 21-31 Goodwood Street

Richmond VIC Australia 3121

T: [REDACTED]

M: [REDACTED]

F: [REDACTED]

E: [REDACTED] | W: monashivfgroup.com.au



Disclaimer:

This email, including any attachments, is only for the intended addressee. It is subject to copyright, confidential, and may be the subject of legal or other privilege, none of which is waived or lost by reason of this transmission. If the receiver is not the intended addressee, please accept our apologies, notify us by return email, delete all copies of this email, including any attachments, and perform no other act on the email. Unfortunately, we cannot warrant that the email has not been altered or corrupted during transmission. Generally information which passes over the internet is not secure. If you send us any personal information using email we cannot guarantee its confidentiality or security. Furthermore email is not appropriate for urgent communication. If you require an immediate response please contact your clinic by telephone. Any personal information in this email must be handled in accordance with the Privacy Act 1988 (Cth).

From: [REDACTED]

Sent: Thursday, 15 October 2020 1:35 PM

To: [REDACTED]

Subject: TGA request for meeting re cell-free preimplantation genetic test and concerns raised in recent media article [SEC=OFFICIAL]

Importance: High

Dear [REDACTED]

We've become aware via a media article published in the [Herald Sun](https://www.heraldsun.com.au) on 10 October 2020, of a potential problem with a cell-free preimplantation genetic test being offered by your laboratory, Adelaide Fertility Centre Pty Ltd (trading as Repromed).

We understand that your laboratory has been accredited by [NATA](https://www.nata.gov.au) to provide this testing service using an in-house IVD medical device. All laboratories developing and using in-house IVDs are subject to certain regulatory requirements including a requirement to notify the Therapeutic Goods Administration (TGA) of the in-house IVDs being used and reporting of any adverse events.

We'd like to urgently speak to you about your cell-free

preimplantation genetic test and the concerns raised in the media article. Please let me know your availability and I will set up a meeting to discuss.

Yours sincerely

[Redacted Signature]

[Redacted] Devices Emerging Technology & Diagnostics | Medical Devices
Surveillance Branch
Medical Devices and Product Quality Division | Health Products Regulation
Group
Australian Government Department of Health
T: [Redacted] | E: [Redacted]

"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."



"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

"Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."

**Device Incident Report : Medical Devices Branch - Device Vigilance and Monitoring****DIR : 40 - ID : 510535**

16/10/2020

UNSIGNED

Released by [REDACTED] on 01/10/2020 16:07:54

Print

Report #:	Records Management #:	Reporter's Reference #:	Report Type:
65968		RM4949	Initial
ARTG:	Document Container URL		

Report Information Section

Report Status:	Sponsor's Reported Category:	Date of Adverse Event:	Date of Initial Report:
Triage	Trend	25/09/2020	16/10/2020
Date of Final Report:	Date of Initial TGA Action:	Reviewed by Team:	Date Response Received:
	16/10/2020		
Date Completed:	Operator at Time of Event:	If 'Other' Operator Selected:	Reporter consents to contact by sponsor:
			N/A
Source of Report:	If 'Other' Source Selected:	Type of Initial Action:	
Sponsor			

Event Description for Website Publication:

Clinical Event Information:

The outcome following a surveillance audit on Repromed SA Genetics laboratory's cell free (non-invasive) PGT-A program that has triggered a detailed internal investigation. Whilst our investigation has not been completed, irregularities have been found in the Validation document that supported the implementation of this test and the accreditation granted by NATA. Consequently, Repromed have decided that the test will cease to be offered clinically to its patients, or any patients it performed the test for in the broader Monash IVF Group's network of clinics. NATA have been informed accordingly, and a repeat of the validation study performed as a matter of urgency. Monash IVF Group will work through a process of Open disclosure with our clinicians and patients which will include remediation where found to be appropriate. I have also included below a sample of the sort email that has been sent to patients, this one is for patients with aneuploidy only embryos.

Background

Monash IVF's NI PGT program was launched following an almost four-year long process of research and Validation trials. The program was approved by Monash IVF GMAC and had human ethical trials approval from an accredited HREC. The research and validation led by [REDACTED] focussed on whether the genetic results from NI PGT systems were as accurate as those from gold standard PGT-A with trophectoderm biopsy.

The validation data was obtained on a cohort of 121 embryos, and showed the two methods were very similar in their outcomes of detecting aneuploidy. There was a correlation of 98% between invasive PGT and NI-PGT; consequently the test was submitted for NATA accreditation. The NATA accreditation audit with peer review from a technical expert and review of validation report occurred in early 2019. Monash IVF Group launched the NI PGT-A program across New South Wales, Northern Territory, Queensland, South Australia, Tasmania and Victoria in May 2019. The test has also been performed on behalf of [REDACTED] in [REDACTED].

Findings

As part of our routine surveillance program, a review of the NI-PGT outcomes was undertaken in June 2020. This review considered not only how accurately the two alternatives performed in terms of detecting abnormality, but also in clinical pregnancy rates. In addition, the post launch surveillance sample size was considerably larger (n = 805) than the validation studies (n = 121). This review highlighted some variations of the performance of the test in clinical use, as compared to the validation results:

- Failed DNA amplification rates were pleasingly lower than the validation study (2.6% Surveillance vs 5.0% Validation).
- There was an increase in inconclusive rates compared to validation study (6.3% Surveillance vs 1.6% Validation); however both outcomes were within parameters experienced in routine clinical practice.
- The significant unexpected finding, was that there was a significant increase in aneuploidy rates in the NI PGT tested embryos when compared to the current invasive PGT tested embryos. This was evident across all ages and for non-delayed and delayed embryos. The increase in aneuploidy was 20-30% higher in the NI PGT group.

- This implies a higher false positive rate compared with PGT-A with biopsy, indicating that more embryos may have been called abnormal when in fact they may be normal, compared with biopsy PGT-A.

The discordant results between Biopsy PGT and NI PGT prompted a full interrogation of the validation study data files to try and better understand these unexpected outcomes. This review revealed some discrepancies in the validation data that are not yet fully understood, but bring into question its scientific and clinical validity.

Next Steps

Monash IVF Group are working with our clinicians to prioritise patient communications and support programs, as we notify patients that the test is being suspended and the impact that our findings have on any stored aneuploidy embryos.

A further validation study is underway, under the supervision of a multidisciplinary Steering Committee, to assess the possibility of continuing to provide the test.

NATA have been advised of our decision to suspend the test and we will work with them in the event that we are in a position to repeat the accreditation process in the coming months.

If you have any questions regarding any of the information or would like further information, please do not hesitate to call me.

Number of Incidents in Report:	Contact:	Alternative Person Title:	Alternative Person First Name:
1			
Alternative Person Surname:	Alternative Person Phone:	Alternative Person Fax:	Alternative Person Email:

Recorded Problems Observed

Recorded Problems Observed:

Clinical Signs, Symptoms and Conditions

Recorded Clinical Signs, Symptoms and Conditions:

Health Impact

Recorded Health Impacts:

Patient Information

Sex:

Weight:

Age:

Patient Focused Corrective Action Taken:

Patient History:

Patient Outcome/Consequences:

Additional Event Description:

Describe any test (Lab, xray, etc.):

Injured - Extent of Injury:

Other medical devices currently using/implanted:

Medical Problem Device Used For:

Additional Patients Added:

0

Submitting Reporter Section

Search Reporter By Surname:

Reporter #:

Preferred Contact Method:

Reporter Title:

First Name:

Surname:

Position:

Company/Institution:

Address 1:

Address 2:

Town/Suburb:

State:

Country:

Postcode:

Phone:

Fax:

Mobile:

Email:

Last External Submission By:

Initial Reporter Section

As Above?:

If No, fill out the following:

Initial Reporter Confidential:

Search Reporter By Surname:

Initial Reporter #:

Preferred Contact Method:

Title:

First Name:

Surname:

Position:

Company/Institution:

Address 1:

Address 2:

Town/Suburb:

State:

Postcode:

Country:

Phone:

Fax:

Mobile:

Email:

Allow the device company to contact you about the incident:

☐

Device Information Section

Product Exempt (Note: If not exempt, enter ARTG No): <input type="text" value="No"/>	Search Device ARTG: <input type="text" value="DV-2017-IVI-10175-1"/>	Device ARTG #: <input type="text"/>	Therapeutic Licence Type: <input type="text"/>
Product Licence Category: <input type="text"/>	Device Class: <input type="text"/>	GMDN / UMDN Code: <input type="text"/>	GMDN / UMDN Text: <input type="text"/>
Brand Name: <input type="text" value="In-house IVD - cell free PGT-A"/>	Initial Device Description: <input type="text" value="In-house IVD - cell free PGT-A"/>	Usage of Device: <input type="text"/>	Software Version: <input type="text"/>
Model #: <input type="text"/>	Serial #: <input type="text"/>	Batch #: <input type="text"/>	Lot #: <input type="text"/>
Purchase Date: <input type="text"/>	Expiry Date: <input type="text"/>	Date of Implant: <input type="text"/>	Date of Explant: <input type="text"/>
Date of Initial Procedure: <input type="text"/>	Place of Implantation: <input type="text"/>	Reported Device Location: <input type="text"/>	Access Contact Title: <input type="text"/>
Access Contact First Name: <input type="text"/>	Access Contact Surname: <input type="text"/>	Access Contact Phone: <input type="text"/>	Access Contact Fax: <input type="text"/>
Access Contact Email: <input type="text"/>	Licence Status: <input type="text"/>	Status Effective Date: <input type="text"/>	Additional Devices Added: <input type="text" value="0"/>

Manufacturer Information Section

Manufacturer Name: <input type="text" value="Monash IVF Group"/>	Manufacturer Client Id: <input type="text"/>	Address 1: <input type="text"/>
Address 2: <input type="text"/>	Town/Suburb: <input type="text"/>	Country: <input type="text"/>
Postcode: <input type="text"/>	Phone: <input type="text"/>	Email: <input type="text"/>
Manufacturer Informed: <input type="text" value="Yes"/>	Date Aware of Adverse Event: <input type="text" value="25/09/2020"/>	Contact First Name: <input type="text"/>
Contact Surname: <input type="text"/>	Contact Title: <input type="text"/>	

Supplier Information Section

Supplier Name: <input type="text"/>	Address 1: <input type="text"/>	Address 2: <input type="text"/>
Town/Suburb: <input type="text"/>	Country: <input type="text"/>	Postcode: <input type="text"/>
Phone: <input type="text"/>	Email: <input type="text"/>	Website: <input type="text"/>
Supplier Informed: <input type="text"/>	Contact Title: <input type="text"/>	Contact First Name: <input type="text"/>
Contact Surname: <input type="text"/>	Contact Fax: <input type="text"/>	Contact Email: <input type="text"/>

Report Status

For website publication: <input type="text"/>	Ready for Publication: <input type="text" value="No"/>	Investigated: <input type="text"/>	Investigation Reason: <input type="text"/>	Team Assignment: <input type="text" value="Unassigned"/>	Team Priority: <input type="text" value="Not Investigated"/>
--	---	---------------------------------------	---	---	---

Team Review

Reviewed by Team: <input type="text"/>	Reason Sent To Meeting: <input type="text"/>	Outcome from team meeting: <input type="text"/>
---	---	--

<div>Notes for Team meeting:</div> <div>Outcomes from Team Meeting:</div>					
DPRC Review					
Reviewed by DPRC:	DPRC Reason Sent To Meeting:	Outcome from DPRC Meeting:			
<div>Meeting Notes:</div>					
Initial Risk Analysis					
Background Information Date:	Risk Assessment - Section A Severity:	Risk Assessment - Section B Incidents in the last 12 months:	Risk Assessment - Section C Manufacturer analysis:	Risk Assessment - Section D Assessor:	Manufacturer documentation:
16/10/2020					
Incidents in last 24 months:	Manufacturer action:	ESTIMATED LEVEL OF INVESTIGATION: Screening only	FINAL LEVEL OF INVESTIGATION:	Injured Party:	Device Recalls:
Incidents in last 36 months:	IVD status:	EXCEPTION TO INVESTIGATION LEVEL:		Found Prior To Use:	Is AE covered by current recall:
Incidents Worldwide:	Number of potential contributing factors: No			Reusable:	Similar events (past 6 months):
Products supplied the last 12 months:	Specific factors identified:	ESTIMATED LEVEL OF PRIORITY:	FINAL LEVEL OF PRIORITY:		3 or more events - batch/model:
Products supplied last 24 months:	Number of potential sensitivities: No	EXCEPTION TO PRIORITY LEVEL:			3 or more events - health district:
Products supplied last 36 months:	Specific sensitivities identified:				3 or more events - organisation:
Products supplied Worldwide:	Consultations during risk assessment:	Final Risk Assessment: Yes			
Sponsor/Manufacturer Information Section					
Search Sponsors:	Name:	Client #:			
65620	Monash IVF Group	65620			
Attention To:	Address 1:	Address 2:	Town/Suburb:		
	Pelaco Building 1 Level 1 / 21-31 Goodwood Street		Richmond		
State:	Postcode:	Phone:	Fax:		
VIC	3121				
Email:					
Investigation Information Section - Submitted by Sponsor/Manufacturer					
Device Analysis Results:	Corrective/Preventative Actions:				
	Ongoing				
Details of Similar Events:	Additional Details (use for tables):				
CAPA# Reference:					

Risk Assessment			
Frequency:	Severity:		
Rating:		Type Cause and Outcome:	Number of Similar Events:
Expected Rate:	Actual Rate:		
Countries Similar Events Also Occurred:			
Completed Actions:		Planned Actions and Proposed Timelines:	
Suspension of the test and working through communications with affected patients		Proposed re-validation of test under extended committee Appointment of external reviewer to completed RCA relating to validation	
Additional Comments:			

Reason for Level 1 Investigation

Details of Reasons

Reason for Level 1 Investigation

Focus of Level 2 Investigation

Details of Focus

Essential Principles

If 'Other' Selected

Sources of Evidence for Level 2

Details of Source

Sources of Evidence

If 'Others' please specify here

Expected Sourcing Date

Date of Evidence Received

Evidence

Investigation Questions (Level 1 and Level 2):

Potential Risks

Delays in response by product manufacturers:

Delays in response by incident reporters:

Delays in analysis within the TGA:

Delays in reporting by other sources (e.g. clinical registries):

Other Risks (which need to be specified):

Next Steps for Level 1 & Level 2 Investigations

Next Steps for Level 1 Investigation:

Next Steps for Level 2 Investigation:

Click [N] to begin a new Correspondence entry. Note that the Email address specified here will receive a notification if the Date Received is not filled in by the Date Expected.

Correspondence and Chronology Details

Include?	Heading	Type L1	Type L2	Email	Sent	Expected	Received	Response	Notes

List of Problem Observed Codes - Click [N] to begin entering information.

Problem Observed Details

Problem Observed (Level 1)	Problem Observed (Level 2)	Problem Observed (Level 3)	If 'Other' Selected

Clinical signs symptoms and conditions

Details

Health Impact

Details

Investigation Findings

Finding Details

Investigation Findings (Level 1)	Investigation Findings (Level 2)	Investigation Findings (Level 3)	If 'Other' Selected

Investigation Conclusion

Conclusion Details

Investigation Conclusion (L1)	Investigation Conclusion (L2)	If Additional Conclusion Detail Requested

Investigation Outcomes

Outcome Details

Outcome of Investigation (L1)	Outcome of Investigation (L2)	If Additional Conclusion Detail Requested

Investigation Summary

Investigation Type:	Latest Investigation (DII) where this DIR is the Primary DIR:	Latest Investigation (DII) where this DIR is a Related DIR:	Investigator:	Extension Number:
Investigator's Notes:	Summary Findings:			Recall Number:

Note: Letter generation buttons disabled if report not ready for website publication or risk analysis not completed.

Device Lookup

This section is used to match information provided via UDIR forms to ARTG information. You can select a Brand/Name from information provided in the 'Other Devices Involved' table below or enter information manually.

Other Device (Entered):	Brand Name:	Manufacturer Name:	Device ARTG #:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other Devices

Device ARTG No:	Manufacturer Name:	Sponsor/Supplier:	GMDN / UMDN Text:	Trade/Brand Name:	Serial #:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Model Number:	Batch #:	Lot #:	Expiry Date:		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		

Related DIR Information - Click **New** to begin entering information.

Rec No	
1	

Samples Record - Click **[N]** to begin entering information. **Note:** Sample # Generated on Save.

Rec No	Details	Sample Details			Additional Details				
1	Date Entered:	LIMS #:	Sample Requested:	Sample Received:	Manufacturer:	GMDN:	Device Description:	Brand Name:	Serial Number:
	Reason for Testing:	# Samples from Reporter:	# Samples from Sponsor:	Outcome of TGA's Testing:	Lot Number:	Batch Number:	Model Number:	Version Number:	
						Who sent the device to the TGA?:		Why does the TGA have the sample?:	

Additional Patients

Click **[N]** to begin entering information.

Patient Details			
Sex:	Weight:	Age:	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Patient Focused Corrective Action Taken:		Patient History:	
<input type="text"/>		<input type="text"/>	
Injured - Extent of Injury:	Was device directly linked to death?:	Was device directly linked to permanent disability?:	Consequence:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other Consequence:	Describe any test (Lab, xray, etc.):	Additional Event Description:	Medical Problem Device Used For:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Additional Device Information

Where did you get this device from?:

How reliant is the affected person on correct/safe operation of this device?:

Any other relevant information to aid assessing/investigating the incident?:

Similar Events

Similar events - how many times?:

Date of Recent Report:

Event Reported To:

Reporter Reference Number:

Device Access - Alternate Device Contact Information Provided

Title:

First Name:

Last Name:

Phone:

Fax:

Email:

Incident Location Details

Occurred in Australia:

Organisation:

Address Line 1:

Address Line 2:

Town/Suburb:

State:

Postcode:

Flow Details DIR-REQ - Device Incident Request 283548

Request Details

ID	Type	Location	Status	Assigned By	Assigned To	Assigned On	Priority	Attach
283548	DIR-REQ		Triage			19/10/2020	Normal	0

Signature Details

Role	IRIS Investigator	
User		
Signed At		
Comment		