



## Therapeutic Devices Application

(Australian Register of Therapeutic Goods)

Use this form if you are applying for:

- Registration of Therapeutic Device(s)
- Listing of Therapeutic Device(s)
- Addition to either a Registration or Listing of a Therapeutic Device
- Variation to either a Registration or Listing of a Therapeutic Device
- Transfer of a device between the listed and registered categories

May 1998

It is the sponsor's responsibility to ensure that this form is accompanied by adequate data for evaluation (Registrable Devices) or all relevant documentation (Listable Devices) and includes the appropriate application fee.

INCOMPLETE APPLICATIONS
WILL BE REJECTED.

4831

Spo	onsor details <i>(all app</i>	licants to complete)
1	Sponsor's business and trading name (refer note opposite)	EBOS HEALTH & SCIENCE PAY LTD
2	Sponsor's address	PO BOX 386
		PO BOX 386 ALEXANDRIA NOW 2015
3	Has an "Enterprise Deta been submitted: (refer note opposite)	nils" form previously
	(i) for this business?	Yes No
	If <b>Yes</b> , give the Enterprise I.D. code	e 14455
	If <b>No</b> , please comp	plete an "Enterprise Details" form and submit it with this application.
	(ii) for the authorised p	erson? Yes No
	If No, please comp	elete an "Enterprise Details" form, Section D, and submit it with this application.
4	Authorised Person's/ Authorised Agent's for this application	JOHN GREEN
5	Authorised Person's/ Authorised Agent's telephone number	(02) 96996488 Facsimile number (02) 96984535
	Agent's name	
		TO THE LAND
	Agent's address	
		101 4 7 to 28 Fw
6	Declaration (all ap	plicants to complete)
		Sponsors should note the "Therapeutic Goods Act 1989" provides penalties for making statements that are false or misleading in connection with an application for registration or listing of therapeutic goods.
		I declare that the information given is current and correct.
	Signature of authorised person (refer note opposite)	Dece /
	Date	2819100
	Name (please print)	JOHN GREEN
Y	our position/title/office in relation to sponsor	AUSTRALIAN MANAGER

Commercial - in - Confidence

7	Is this device for export	only? Yes		No _
8	I am applying for (refer note opposite)	Registration of Therapeutic Device(s)		
	_	Listing of Therapeutic Device(s)		
		Addition of product(s) to an existing Registration		Specify AUSTR number
		Addition of product(s) to an existing Lisitng		Specify AUSTL number
		Variation to existing Registration/Listing		Specify AUSTL/R number
	- *	ARTG transfer		Specify AUSTL/R number
Var	Category of change	oplicants varying details	s of a	registration or listing to complete)
	(give a brief description) (refer note opposite)			
10	Application fee	A\$300.00		
	(refer note opposite)	7 4700 . 00		

Mai	nufacturer details - re	lease for supply
11	Manufacturer's name	OSTEOMPLANT TECHNOLOGY LOC
12	TGA Licence number (Aust manufacturers only) (refer note opposite)	Has licence been Yes No applied for?
13	Manufacturer's Enterprise I.D. (if known)	32083 TLI
14	Manufacturer's site address (refer note opposite)	11201 PEPPER ROAD HUNT VALLEY MARYLAND 21031 USA
15	Manufacturer's postal address (if different to above)	
16	Step(s) in manufacture (refer note opposite)	FULL
	If 'FULL' go to question	22
Oth	er Key Step Manufac	turers (refer note opposite)
17	Manufacturer's name (refer note opposite)	
18	Manufacturer's Enterprise I.D. (if known)	
19	TGA Licence number (Aust manufacturers only)	Has licence been Yes No applied for?
20	Manufacturer's site address	
21	Step(s) in manufacture	

## Overseas manufacturer - Quality Systems/GMP Certification (registration and listing applicants to complete)

22	goods appear in the list opposite? ote opposite)	Yes No	- Go to question	25
	If <b>Yes</b> , has evidence of quality systems/GMP certification for <b>each</b> manufacturer previously been accepted by the TGA?	Manufacturer 1  Yes No  Manufacturer 2  Yes No		9 1
	Evidence must be no more than 5 years old and valid for at least 6 months at the time of application	If <b>No</b> , attach evidence of quality systems certification (refer notes opposite)		

23	you agre	nce unavailable/unacceptable, do se to pay the costs of inspection alian TGA Auditor if deemed ry by the Secretary?	Yes	No	Ĭ	n a separate g reasons.
24		e the overseas manufacturer(s) o such an inspection?	Yes	No	If No, attack	n a separate greasons.
		with Therapeutic Goods Orders on and listing applicants to complete		qua	llity and sa	fety criteria
25		oods appear in the list opposite? te opposite)	Yes	No		
		If Yes, you must have available a current to compliance with each requirement of the rimust be less than 2 years old.  Note: 1. For condoms and contraceptive dibe from an independent laboratory and reflection and be submitted with the application 2. For non-sterile bandages and dressing not have a certified quality system/GMP—with a microbial count certificate less than be supplied in Australia, is required with the Test certificates are required to be submitted product to be supplied in Australia, prior to	aphragms the te late to a batch to ation. s where the mar a Site Information 6 months old for the next fi	st cer be s nufact on File r the	t certificates  rtificate must supplied in turer does e together first batch to atches of	

### **Electrical Safety**

requirements below:		
Australia		
AS 3200.1 – 1990 – A Part 1 – General requ	pproval and test specification – Medical electric rements for safety	cal equipment
AS 3551 – 1996 – Teo	hnical management programs for medical device	ces (applicable clause
Certificate of Approva	number	issued by
	of	(State)
	(Date)	
on	(Date)	
Certificate of Suitabilit	y number	issued by
	of	(State)
	7,044	
on [	」(Date)	
Overseas		
	edical electrical equipment – Part 1 – General	requirements for safe
OR Equivalent		
EN 60601.1	Europe	
BS 5724	United Kingdom	
UL 2601	United States	
CSA C22.26 - 601	Canada	
Certificate of compliar	nce with IECEE-CB Scheme	
Electromagnetic Compat	ibility	
A C/NIZE 2200 4.2 4	995 – Approval and test specification – Genera	al requirements for saf

#### Foreign approvals for Registrable Devices

If Yes, indicate the type of prior approval
(Please attach separate evidence for each product)
US FDA Approval Pre Market Approval – PMA Date
Supplementary Date Pre-Market Approval – SPMA
Investigation Device Date Exemption – IDE
EC Design Examination Certificate Date
EC Type Examination Certificate Date
EC Quality Systems/GMP Certificate
Certification Agency Eulerean Approval date and Approval date
Type of Certificate (refer note opposite) Expiry date

Regulatory record (registration and listing applicants to complete) (refer note opposite)

- Have any of the therapeutic devices included in this application been refused registration in another country or are subject to:
  - any bans from sale or supply, product recall or product correction? or
  - investigation in relation to performance, quality, safety and efficacy?
     (excluding routine premarket evaluation) or
  - further restrictions or conditions, relating to the fitness for use of these
    devices for certain purposes or categories of patients following supply?
    (other than normal indications and contra-indications in published
    product information).

by overseas regulatory authorities?

Yes

No

If Yes, please attach details.

Sponsors must be aware of their post market responsibilities for this product.
 Post market responsibilities are set out in the Standard Conditions document issued to sponsors when their goods are included in the ARTG.

If th		. •	_	applicants to complete) lication, photocopy this page and submit wit
29	Product trade name and model number(s) as it appears on the label	Co CR FEM	O.R.	al Herag
30	ECRI IMD code © (refer note opposite)		] .	
31	Brief description of product (refer note opposite)	FEMURAL 1	400	40 28MM Aus 320MM
			-	
32	Sterile Goods What product information	n has been sent with this a	applic	eation?
		Unit labels	H	(Compulsory for the initial application)
		Outer package label		(Compulsory for the initial application)
		Package insert	$\Box$	(Compulsory for goods sold over the counter)
		Promotional material	H	(Compulsory for goods sold over the counter)
		Instructions for use		(Compulsory for registrable devices, optional for listable devices)
		User manual		(Compulsory for registrable devices, optional for listable devices)
		Brochure(s)		(Optional but recommended)
33	Non-Sterile Goods			
55	What product information	n has been sent with this :	applic	eation?
	Outer packag	e label or compliance plate		(Compulsory for the initial application)
		Package insert		(Compulsory for registerable goods and for goods sold over the counter)
		Promotional material		(Compulsory for registerable goods and for goods sold over the counter)
		Instructions for use		(Compulsory for registerable devices, optional for listable devices)
		User manual		(Compulsory for registerable devices, optional for listable devices)
		Brochure(s)		(Compulsory for registerable devices,

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Ethylene oxide			
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Other			
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	Steam Ethylene oxide Filtration Gamma irradiation Glutaraldeyde Dry heat Electron beam Other	Steam  Ethylene oxide  Filtration  Gamma irradiation  Glutaraldeyde  Dry heat  Electron beam  Other  Other  Other  No  refer note opposite)	Steam  Ethylene oxide  Filtration  Gamma irradiation  Glutaraldeyde  Dry heat  Electron beam  Other  Other  No N/A  N/A  Other or  (refer note opposite)

37	Proposed storage (refer note opposite	temperature of product			
	store below 8 de	egrees Celsius (refrigerate)			
		e at 2 to 8 degrees Celsius efrigerate – do not freeze)			
	store	below 25 degrees Celsius			
	store	below 30 degrees Celsius			
		Other	Please specify  APPL	LICABLE	
38	8	it/tray/pack/system?	Yes No		
		or Systems (registrat Description	ion and listing appl	licants to complet AUST R or AUST L number	e)  ECRI IMD code ©
39	List of therapeutic	1		Humber	LOIN IND code
	goods contained in the Kit/Tray/Pack/	2			
	System	3			
		3			. 727 12.67
		4	\$		
	٠.,				
	• • •	4			
	•	5			
		4 5 6			
		4 5 6 7			

Med	dicated or Form	ulated devices (registra	tion and listing a	applicants to	complete)	
40	Is this a medicate (refer list opposite)	ed or formulated device?			disinfectant/steri ng go to question	
41		edicated or formulated device und dressings. (refer note opp		ct lens solutions	, disinfectants/ste	rilants
	Name					
	Quantity					
	Name					
	Quantity					
	Name					
	Quantity					
	Name				,	
	Quantity					
	Name					
	Quantity					
	Name	8				
	Quantity					

## Checklist Where requested, have you provided the following: "Enterprise Details" form – if not previously submitted. Cheque for the applicable fee in Australian dollars. Additional pages of manufacturers. If so, how many manufacturers are included in this application? Evidence of quality systems/GMP certification for overseas manufacturers included in this application (if applicable). Copy of a current "Test Certificate" (where required). Supplementary page(s) for contact lens solutions, disinfectant/sterilant and hydrogel wound dressings formulation details (if applicable). Additional pages of individual product details. If so, how many individual products are included in this application? Product literature or sample (for sterile products please supply outer and unit pack labels). Details of regulatory record (if applicable). Details of material supporting variation (if applicable). Copy of "Instrument of Appointment" (if applicable). (see Enterprise Details form) Evaluation submission (if applicable) as per "Australian Medical Device Requirements under the Therapeutic Goods Act 1989 - DR4" for Registrable devices. Send the complete form, together with the application fee and attachments to: The Business Manager **Business Management Unit Therapeutic Goods Administration** PO Box 100 Woden ACT 2606

If this is an application for Registration of a Therapeutic Device send the original application to the address above and a copy with your submission for evaluation to:

Postal address

The Premarket Evaluation Manager **Medical Devices Section** Conformity Assessment Branch, TGA **PO Box 100 WODEN ACT 2606** 

Courier address

The Premarket Evaluation Manager **Medical Devices Section** Conformity Assessment Branch, TGA 136 Narrabundah Lane **SYMONSTON ACT 2609** 

It is the sponsor's responsibility to ensure that this application is accompanied by all relevant documentation and if the application is for a registrable device, adequate data. This includes the appropriate application fee(s).

Applications which are incomplete or contain incorrect information are liable to be rejected under Subsection 23(2) of the Therapeutic Goods Act 1989.



Mr. John Green Portland Orthopedics, Inc. 1239 N. High Street Columbus, OH 43201

Re: OTI Product Lines, Regulatory Status

#### CERTIFICATION

All implants and related orthopedic instrumentation are cleared via 510(k) submiss by the US FDA for marketing in the USA and unrestricted export worldwide. The Quality System employed by OTI is in compliance with the U.S. CFR, Part 820, Quality System Regulations and other applicable regulatory documents. In additio Quality System received the ISO-9001/EN46001 Certification and all implants bea "CE0044" mark. The manufacturing facility is subject to U.S. FDA periodic insperand mandatory annual ISO-9001/EN46001 Audits.

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Sam Son,

Vice President of Technical Affairs,

Official Correspondent

# ISO 9001 CERTIFICATIONS



## CERTIFICATE

The TÜV CERT Certification Body of Rheinisch-Westfälischer TÜV e.V.

hereby certifies in accordance with TÜV CERT procedure that

OTI INC.
11201 Pepper Road, Hunt Valley
MD 21031 / USA

has established and applies a quality system for

Design, Manufacturing and Distribution of Orthopedic Implants and Accessories

An audit was performed, Report No. 3.0.1-1017/98

Proof has been furnished that the requirements according to ISO 9001:1994 / EN ISO 9001:1994 / EN 46001:1996

are fulfilled. The certificate is valid until August 2001 Certificate Registration No. 041058567





Essen, 31.08.1998

RWTUV

TÜV CERT Certification Body of Rheinisch-Westfälischer TÜV e.V.



## ZERTIFIKAT

CERTIFICATE



Registrier-Nr./Registered No.: 04.207-2339/98

Anlage 1, Blatt 1 von 2 Annex 1, page 1 of 2

Zeichen des Auftraggebers Reference of applicant OTI, Inc. Auftragsdatum
Date of application
31.05.98

Aktenzeichen File reference 3.1.1-876/98 Profibericht Nr. Test report Na. 2193/98 Ausstellungsdatum Date of issue 30.09.1998 Revision revision:

#### Orthopädische Implantate Orthopedic Implants

Femoral Hip Systems

Series LSF LSF-J Series LSF-Triad Series Omega Series Series Biometric Series Americana Eurostem Series Unifit Stem Series Charnley Type Series Series Moore Type Mueller Type Series

LSF-J Alfa Bipolar Unipolar Biometric Series Series Series Series Series Series

**Total Hip Accessories** 

Acetabular Hip Systems

**Bone Screws** 

**Bone Plugs** 

TSC/TCS

Series

110

Series

Zertifizierungsstelle des RWTŪV e.V. für Gerätesicherheit, Aufzüge und Medizintechnik, notifiziert bei der EG-Kommission unter Nr. 0044



Rheinisch-Westfällscher Technischer Überwachungs-Verein e.V., Sitz: Essen Langemarckstraße 20 D-45141 Essen Postfach 10 32 81 D-45032 Essen Telephone +49/201 8 25-0 Telefax +49/201 8 25-33 56



## ZERTIFIKAT

## CERTIFICATE



Registrier-Nr./Registered No.;; 304-207-2339/98

Vollständiges Qualitätssicherungssystem gemäß 93/42/EWG Anhang II Complete quality system according to 93/42/EEC annex II

Zeichen des Auftraggebers Reference of applicant OTI, Inc. Auftragsdatum
Date of application
31.05.98

Aktenzeichen File reference 3.1.1-876/98 Prüfbericht Nr. Test report No. 2193/98 Ausstellungsdatum

Date of issue

30.09.98

Gülügkeit bis Expiry date 30.09.01

Hlermit wird bestätigt, daß das Qualitätssicherungssystem des nachfolgend genannten Unternehmens den Maßgaben des Anhangs II, Abschnitt 3 der Richtlinie 93/42/EWG des Rates vom 14. Juni 1993 über Medizinprodukte für die Auslegung, die Fertigung und die Endkontrolle entspricht. Zusätzlich zur CE-Kennzeichnung muß die Kennnummer des RWTŪV e.V. angebracht werden. Dies kann in der nachfolgend abgebildeten Form erfolgen.

We hereby certify that the quality assurance system of the company mentioned below is in conformance with the requirements of annex II, paragraph 3 of the council Directive 93/42/EEC of 14 June 1993 relating to medical devices for design, production and final control. Additional to the CE-marking the notification number for RWTŪV e.V. has to be affixed. This can be done in the illustrated form.

**C** € 0044

Antragsteller:

OTI, Inc.

Applicant:

11201 Pepper Road

Hunt Valley, MD 21031 USA

Fertigungsstätte:

OTI, Inc.

Manufacturing plant:

11201 Pepper Road

Hunt Valley, MD 21031 USA

Geltungsbereich:

Entwicklung, Herstellung und Vertrieb von orthopädischen

Implantaten und Zubehör

Scope:

Design, Manufacturing and Distribution of Orthopedic Implants

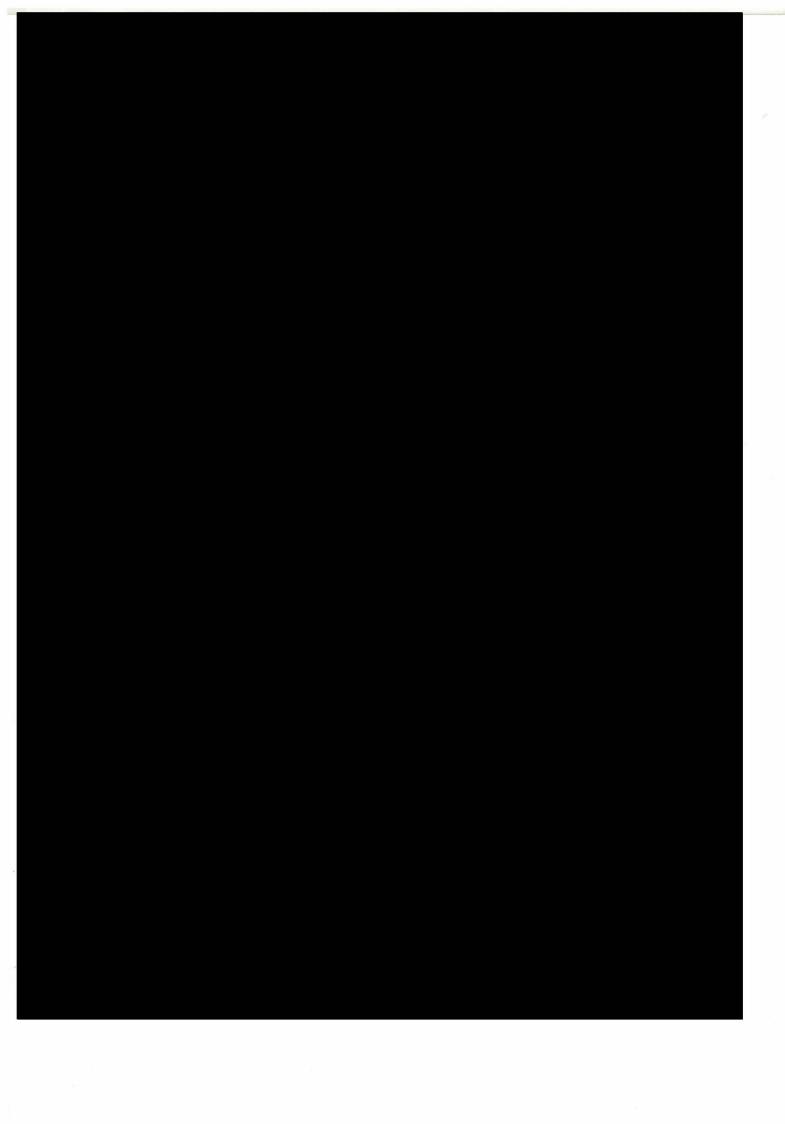
and Accessories

Liste der Produkte siehe Anlage 1 / List of products see annex 1

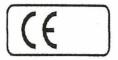
Zertifizierungsstelle des RWTÜV e.V. für Gerätesicherheit, Aufzüge und Medizintechnik, notifiziert bei der EG-Kommission unter Nr. 0044

COPY

Rheinisch-Westfälischer Technischer Überwachungs-Verein e.V., Sitz: Essen Langemarckstraße 20 D-45141 Essen Postfach 10 32 61 D-45032 Essen Telephone +49/201 8 25-0 Telefax +49/201 8 25-33 56



#### EUROPEAN DECLARATION OF CONFORMITY



The undersigned hereby declares, on behalf of Osteoimplant Technology, Inc. of Hunt Valley, Maryland, that the above-referenced products, to which this declaration relates is in conformity with the provisions of Council Medical Device Directive (MDD) 93/42/EEC of June 14, 1993 and the following standards:

ISO-9001 Compliance

EN-46001 Compliance

FDA 21 CFR, Part 820, QSR

EN-552 Sterilization of Medical Devices by Irradiation

EN556 Sterilization of Medical Devices - requirements for devices labeled "Sterile"

EN980 Labeling for Medical Devices

**EN 1441 FMEA** 

EN 30993 Biocompatability Standard

The Technical Construction File required by this Directive is maintained at the corporate headquarters of Osteoimplant Technology, Inc., 11201 Pepper Rd, Hunt Valley, Maryland, USA

The authorized representative located within the Community is:

Joaquin Forriol LAFITT, S.A.

Valencia Parc Technologic

Edificio CEEI Calle 3, s/n

46980 PATERNA - Valencia SPAIN

Phone: 96/199 42 44 Fax: 96/ 199 42 45

Date of Issue: <u>MANOH</u> 2, 1999

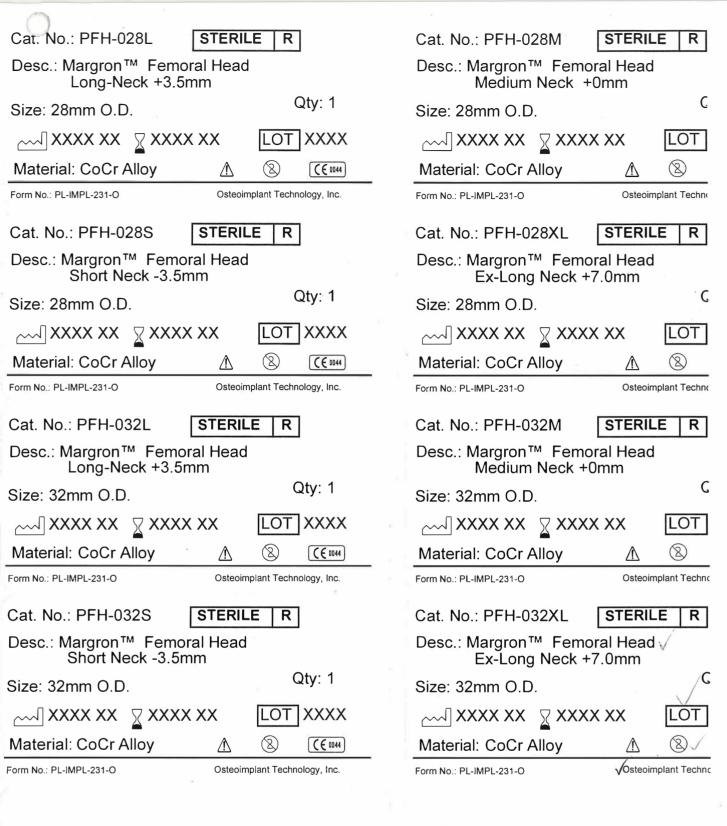
Place of issue: 11201 Pepper Road

Hunt Valley, Maryland 21031

**USA** 

Vice President of Technical Affairs

# SAMPLE LABELING



Manufactured by
Osteoimplant Technology, Inc.
for
Portland Orthopedics,Inc.
1239 N. High Street
Columbus, OH 43201

Telephone: 614-291-3473 Fax: 614-291-3468

labdi - ede

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# RADIATION STERILIZATION CERTIFICATION

All OTI products are sterilized under the guidelines of the American National Standard ANSI/AAMI/ISO 11137.
All OTI products receive a minimum absorbed dose of 25.0 kGy and

maximum 50.0 kGy. A sample of typical certificate of Irradiation is attached.

## **CERTIFICATE OF IRRADIATION**

PAGE 1 OF 4



IRRADIATION LOT NUMBER: 08021034

IRRADIATED BETWEEN: 13:58 , 08/25/00 AND 18:58 , 08/25/00 (Dickerson TIME

TIME DATE DATE

CUSTOMER OTI, Inc. 11201 Pepper Rd

Hunt Valley, MD 21031

PURCHASE ORDER NO.: 7821

PRODUCT DESCRIPTION AND CUSTOMER RUN NUMBER Orthopedic Implants (contains 107 pieces)

Product description attached, pages 2 through 4 of 4

Run #343

NUMBER OF CARTONS IN IRRADIATION LOT 3

ABSORBED DOSE SPECIFIED

MINIMUM \_\_25.0 kGy MINIMUM 33.6 kGy

MAXIMUM 50.0 KGy MAXIMUM 41.7 kGy

ABSORBED DOSE MEASURED BY FWT60-00 DOSIMETERS; UNCERTAINTY OF MEASUREMENT ± 3.8% AT A 95% CONFIDENCE LEVEL.

COMMENTS

Carol M. Campbell OC Manager, Radiation Processing Servi

ABSORBED DOSE MEASURED

*neutron products*