

TGA Clinical Trial Initiatives

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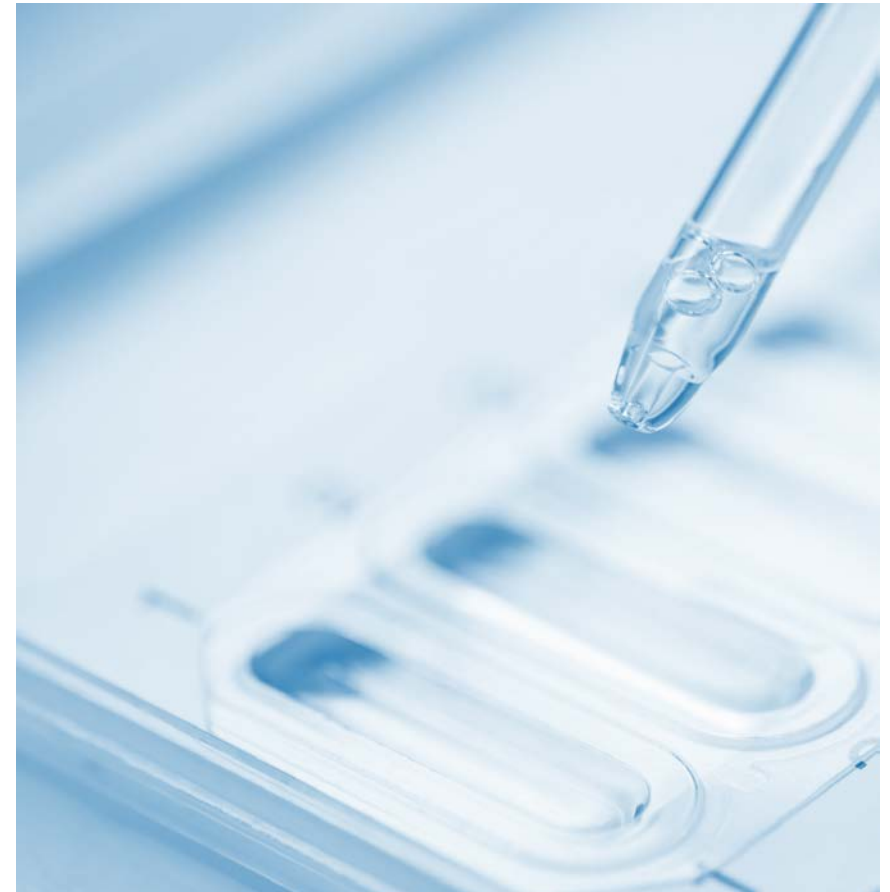
Australian Government

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[tga.gov.au](https://www.tga.gov.au)

TGA Initiatives

- Increased oversight of highest risk device trials
- GCP Inspection Program
- Updates to the CTN submission form
- Safety reporting form
- CTA review
- Educational resources and guidance



Proactive monitoring of highest-risk medical device clinical trials



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Proactive monitoring of first-in-human (FIH), highest-risk invasive or cardiac implantable medical device clinical trials

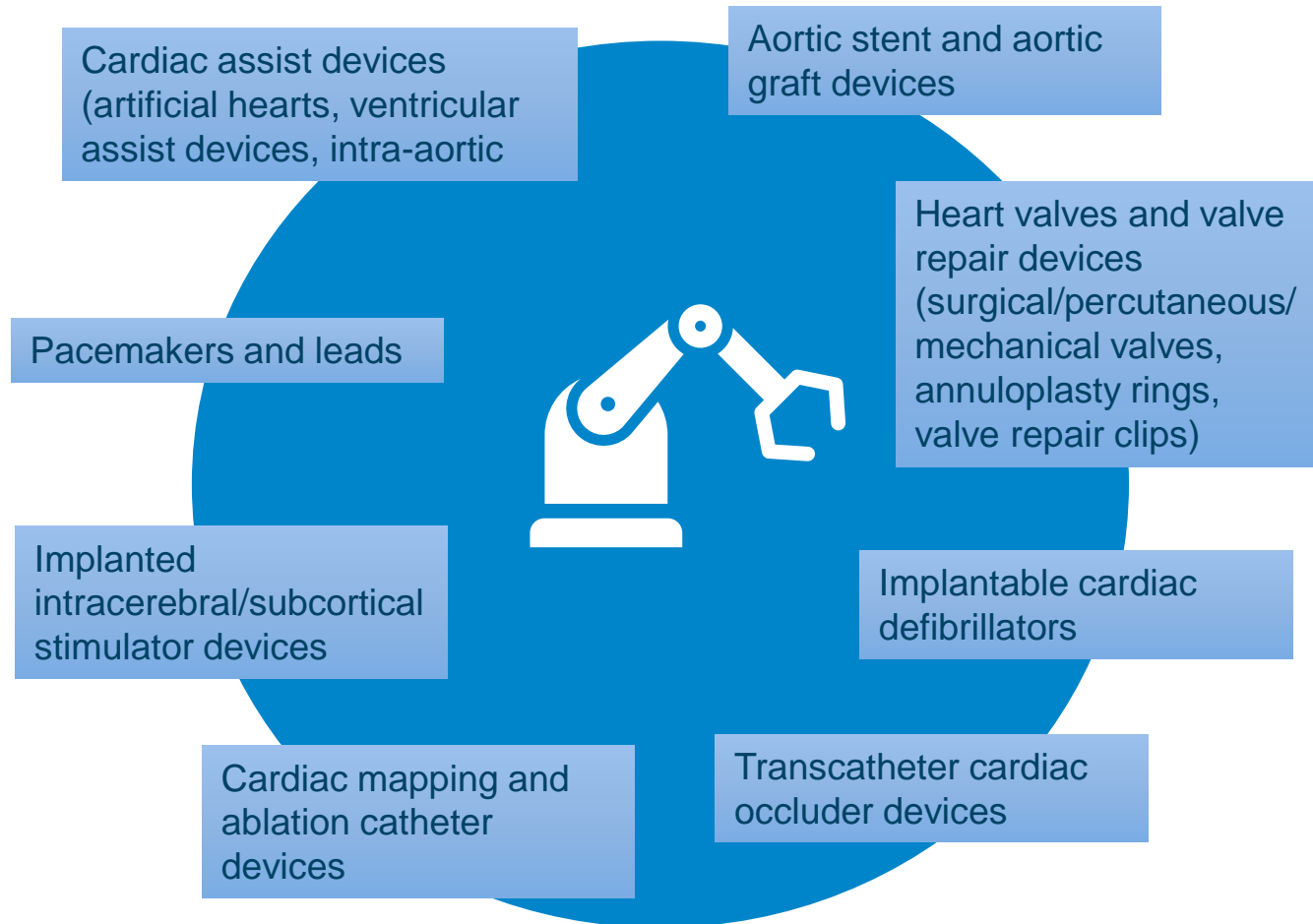
To ensure the safety and wellbeing of medical device trial participants



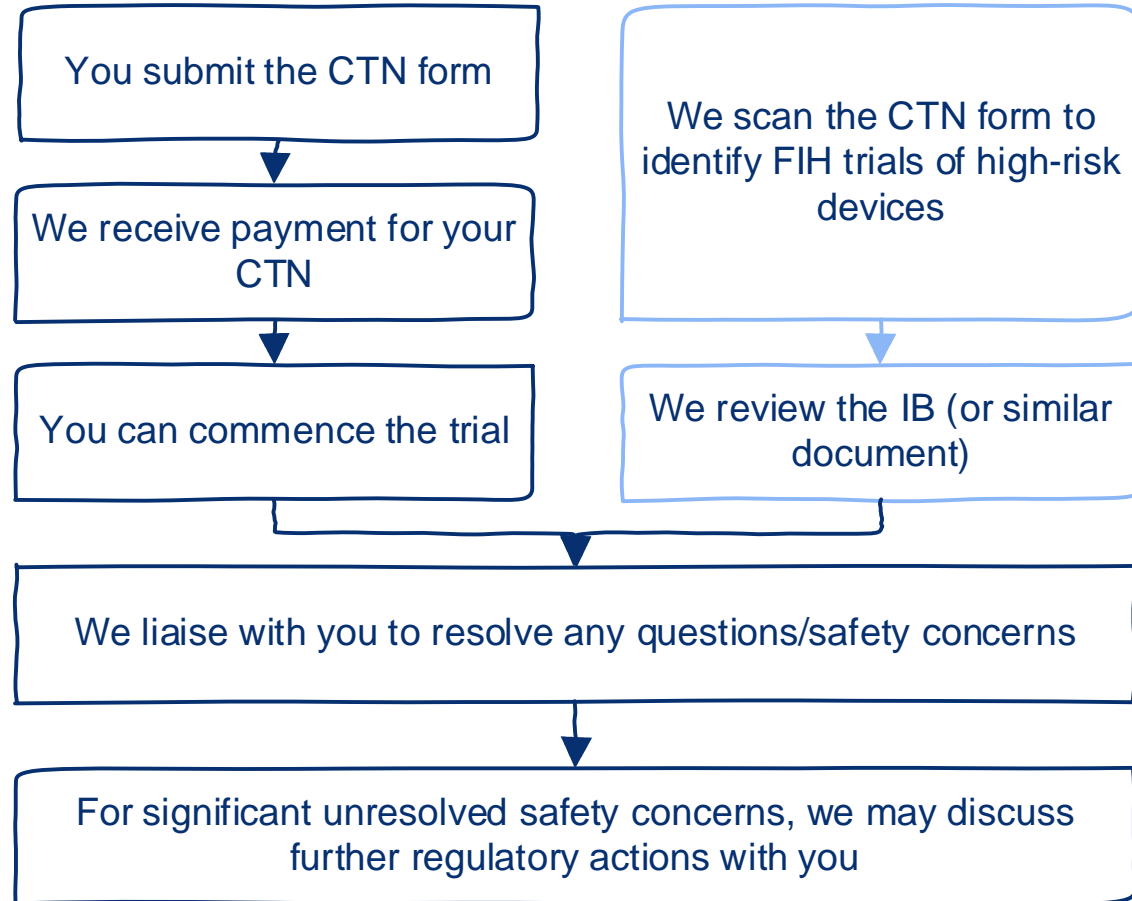
Scope

In-scope: CTNs for first-in-human trials of specified high-risk devices

Out-of-scope: trials of devices that are incremental developments of established devices, CTN variations



Review process



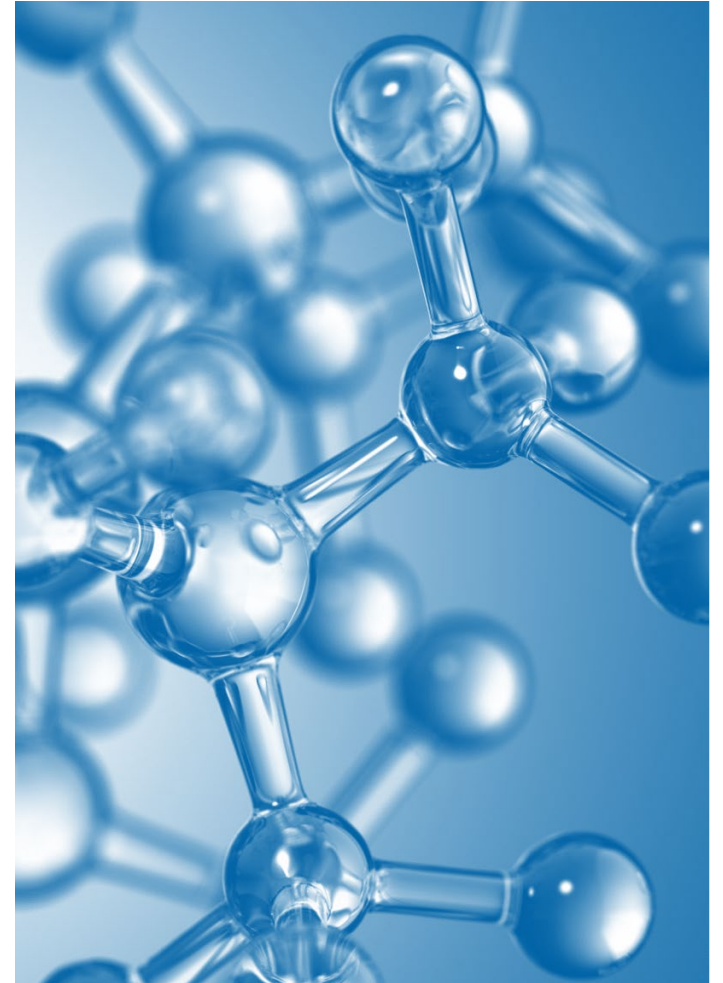
- The review will not affect trial timelines
We encourage you to submit the CTN form as early as possible and to use the attachment upload function to submit the IB (or similar documentation)
- There will be no additional fee if your trial is reviewed
- You won't hear from us unless we have questions or concerns

Just published: guidance on review of high-risk medical device trials



Key features

- There were no changes to the CTN/CTA pathways
- The TGA is reviewing the pre-clinical and clinical data for the highest-risk device trials
 - We encourage you to submit your CTN as early as possible
 - Using the attachment upload function to submit the IB will save later requests for information
 - Familiarise yourself with the general expectations for the contents of an IB




GCP Inspection Program



Good Clinical Practice (GCP) is an international ethical and scientific quality standard for designing, conducting, recording and reporting clinical trials


ICH GCP E6 (R2): International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use Guideline for Good Clinical Practice (ICH GCP E6) with TGA annotations

ISO 14155:2020: International Organisation for Standardisation (ISO) 14155 – Clinical Investigation of Medical Devices for Human Subjects – Good Clinical practice



Medicines & Biologicals

ICH GCP E6 (R2)



Medical Devices

ISO 14155: 2020



Combination Investigational Products

ICH GCP E6 (R2)
ISO 14155: 2020

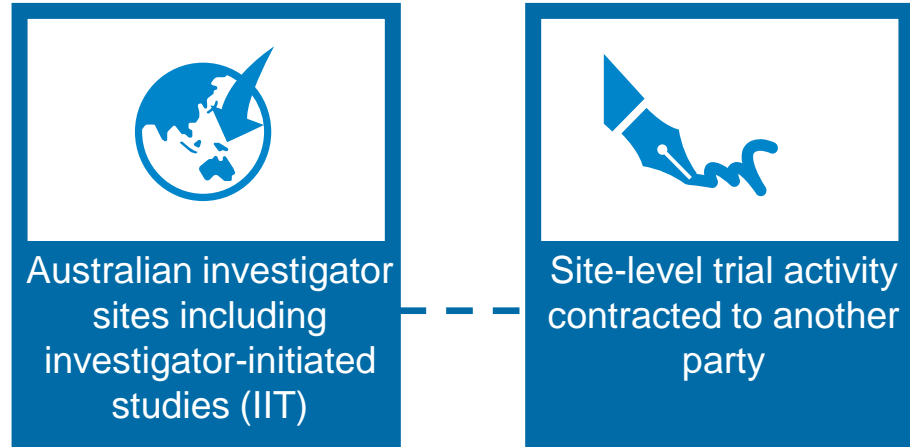
National Statement on Ethical Conduct in Human Research

Who do we inspect?

- Clinical trials included in the Clinical Trial Notification (CTN) & Clinical Trials Approval (CTA) scheme
 - Investigator site = all locations carrying out clinical trial activity except at patient's homes
 - Risk-based selection of a proportion of eligible clinical trials
- Types of Investigational Products / Therapeutic Goods
 - Medicines
 - Biologicals
 - Medical devices



We inspect...



We don't inspect...



Inspectors will check your compliance with...

**Therapeutic Goods
Act & Regulations**



GCP guidelines(s)



**National
Statement**



**HREC approved
protocol &
amendments**



Resources to be inspection ready



GCP Inspection Guidance



Australian Clinical Trials Handbook



GCP Metrics Report



**National Standard Operating Procedures for Clinical Trials, including
Teletrials, in Australia**

CTN form updates



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[tga.gov.au](https://www.tga.gov.au)

Additional fields and mandatory information

Is this a: *

☒ Medical Device
☐ In Vitro Diagnostic Medical Device (IVD)

Classification *

Class IIa

Class of Biological ? *

Select the Class of Biological

Device Classification and Biological Classification fields are now mandatory

Is this a First in Human Trial? *

☒ Yes ☐ No

Has this trial, in part or as a whole, been halted/stopped/withdrawn or rejected in another country due to safety concerns? *

☒ Yes ☐ No

Please ensure you have notified your Human Research Ethics Committee (HREC) of trial cessations and the outcome of review in another country.

Two new mandatory questions to identify First in Human trials and trials ceased overseas

Is this device software or does it incorporate software (this may include firmware)? *

☐ Yes ☒ No

Is it an invasive device? ? *

☐ Yes ☒ No

Is it an implantable device? ? *

☐ Yes ☒ No

Three new mandatory questions in the Medical Device Details sub-form to identify devices that incorporate software, invasive devices and implantable devices



Easier to complete notifications

- Trial phases for medical device clinical trials
- HREC autofill and searchability
- Attachment upload function
- Automatic email notification of CTN status change
- Improved layout for printing CTN
- Instructional text within the form
- Updated user guide



New safety reporting form



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Safety reporting to the TGA

A new safety reporting form for SSI/USM was published on the TGA website in November last year.

Sponsors must notify the TGA of:

- Significant safety issues (SSI)
- Urgent safety measures (USM)
- Suspected unexpected serious adverse reactions (SUSAR)
- Unanticipated serious adverse device effects (USADE)



Review of the CTA pathway



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[tga.gov.au](https://www.tga.gov.au)

Objectives of the review

- Streamlined process for CTA applications
- Increased collaboration with HRECs
- Clear guidance, including timeframes and data requirements
- Supports decisions about which pathway to use – CTA vs CTN



Recent targeted consultation with HRECs on the CTA scheme

May 2024: Consultation sent to all HRECs

Received 17 responses from HRECs with various experience with CTA trials

Key response themes:

- Confusion on the TGA CTA process
- TGA approval prior to HREC ethics approval
- Who is responsible for recommending the CTA pathway
- Lack of collaboration between HRECs and the TGA

Outcomes:

- Published a page on the TGA website detailing the [typical CTA application process](#)
- Also includes updates on the CTA review
- Established the HREC and TGA clinical trials discussion forum

Educational resources and guidance



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Therapeutic Goods Administration

[tga.gov.au](https://www.tga.gov.au)

Education & Collaboration

- Annual metric reports
- Webinars
- eLearning Modules
- Ongoing GCP Inspections
- Seeking feedback from inspectees

[Home](#) > [Products we regulate](#) > [Unapproved therapeutic goods](#) > [Clinical trials](#)

Investigator's brochures for medical device clinical trials

A sponsor's guide to the expectations for the contents of an Investigator's Brochure.

Last updated: 29 May 2024

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All trials that investigate medical devices, regardless of device classification, should have an Investigator's Brochure (IB), or equivalent documentation.

The IB compiles all available clinical and non-clinical data to inform on the safety of the investigational device that is to be studied in humans.

What to include

A brochure should inform the reader and help them make an unbiased benefit-risk assessment of the proposed trial.

Information in the brochure should be:

- written in English (other languages not accepted)
- in language the reader understands
- up to date
- presented in a concise, simple and non-promotional way.

A medically qualified person is expected to participate in the editing of your IB.

Clinical trials

Investigator's brochures for medical device clinical trials

Guidance updates

- GCP inspection guidance (May 2024)
- Guidance on contents of an Investigator's Brochure for medical devices (May 2024)

Planned publications/updates:

- Guidance on review of high-risk medical device trials
- Australian Clinical Trials Handbook (updates)



Good Clinical Practice (GCP) inspection program for clinical trials

Guidance for clinical trial investigator sites on what to expect and how to prepare for an inspection

Version 2.0, May 2024

Website and link references

Clinical trials TGA	https://www.tga.gov.au/clinical-trials
Good Clinical Practice (GCP) inspection program TGA: guidance and metrics report	https://www.tga.gov.au/resource/good-clinical-practice-gcp-inspection-program
Clinical Trials Toolkit Australian Clinical Trials	https://www.australianclinicaltrials.gov.au/clinical-trials-toolkit
Investigator's brochures for medical device clinical trials	https://www.tga.gov.au/products/unapproved-therapeutic-goods/clinical-trials/investigators-brochures-medical-device-clinical-trials
Learning Modules Australian Clinical Trials	https://www.australianclinicaltrials.gov.au/_files/elearn/index.html
Resources for Clinical Trials in Australia Australian Clinical Trials	https://www.australianclinicaltrials.gov.au/resources-clinical-trials-australia
ICH Guideline for Good Clinical Practice TGA	https://www.tga.gov.au/publication/note-guidance-good-clinical-practice
About health and medical research in Australia Department of Health and Aged Care	https://www.health.gov.au/topics/health-data-and-medical-research/about-health-and-medical-research
National Standard Operating Procedures for Clinical Trials Australian Government Department of Health and Aged Care	https://www.health.gov.au/resources/publications/national-standard-operating-procedures-for-clinical-trials
The National Statement 2018 National Health and Medical Research Council (NHMRC)	https://www.nhmrc.gov.au/about-us/publications/national-statement-ethical-conduct-human-research-2007-updated-2018
Safety monitoring and reporting in clinical trials involving therapeutic goods NHMRC	https://www.nhmrc.gov.au/about-us/publications/safety-monitoring-and-reporting-clinical-trials-involving-therapeutic-goods

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GCP Inspections

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